

# **Analysis And Comparison Between Performance Parameters Of Small Cells And Femtocells In Long Term Evolution (LTE)**

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**A dissertation submitted in partial fulfillment of requirement for the degree of Bachelor of Science in Engineering in Electrical and Electronic Engineering**

**Islamic University Of Technology (IUT)**  
**The Organization of the Islamic Conference (OIC)**



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This is to certify that the work presented in this thesis is an outcome of the experiments, study, simulation and real time work carried out by the authors under the supervision of Mohammad Tawhid Kawser, Assistant Professor, Electrical and Electronic Engineering Department, Islamic University of Technology (IUT), OIC.

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# Analysis And Comparison Between Performance Parameters Of Small Cells And Femtocells In Long Term Evolution (LTE)

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## ABSTRACT

**LTE**, short for **Long Term Evolution**, is considered by many to be the obvious successor to the current generation of **UMTS 3G technology**. **Small Cells** in **LTE** are currently being used widely because of their many advantages. As high-rise buildings and street canyons cause coverage holes in certain parts of the topology and high user densities also cause the capacity of macro cells to be exhausted, placing small cells at strategic points in these areas helps to overcome coverage and capacity problems. Small cells are low-cost alternative to macro cells in rural and hard-to-reach areas because of their ease of installation. On the other hand, **Femtocells** also provide the best solution for seamless, transparent offload and ubiquitous coverage for high-speed data. So, by using Vienna Simulator, the performance parameters of **Small Cells** and **Femtocells** are compared to conclude which type of cells is best suited for overpopulated and dense urban places.

## List of Acronyms

<b>1xEV-DO</b>	1x Evolution for Data Optimized	<b>DRX</b>	Discontinuous Reception
<b>3GPP</b>	Third Generation Partnership Project	<b>DS</b>	Direct Spread
<b>3GPP2</b>	Third Generation Partnership Project 2	<b>DSCP</b>	Differentiated Service Code Point
<b>4G</b>	Fourth Generation Wireless Systems	<b>DTCH</b>	Dedicated Traffic Channel
	Authentication, Authorization and		
<b>AAA</b>	Accounting	<b>DTX</b>	Discontinuous Transmission
<b>AAS</b>	Adaptive Antenna System	<b>E-UTRA</b>	Evolved UMTS Terrestrial Radio Access
			Evolved UMTS Terrestrial Radio Access
<b>AC</b>	Authentication Center	<b>E-UTRAN</b>	Network
<b>ACK</b>	Acknowledge or Acknowledgement	<b>ECM</b>	EPS Connection Management
<b>AM</b>	Acknowledged Mode	<b>eNB</b>	E-UTRAN Node B
<b>AMBR</b>	Aggregate Maximum Bit Rate	<b>EMM</b>	EPS Mobility Management
<b>AMC</b>	Adaptive Modulation and Coding	<b>EPC</b>	Evolved Packet Core
<b>AN</b>	Access Network	<b>EPS</b>	Evolved Packet System
<b>APN</b>	Access Point Name	<b>ESP</b>	Encapsulating Security Payload
<b>ARP</b>	Address Resolution Protocol	<b>EUTRAN</b>	Evolved UTRAN
<b>ARP</b>	Allocation and Retention Priority	<b>EV-DO</b>	Evolution for Data Optimized
<b>ARQ</b>	Automatic Repeat reQuest	<b>FA</b>	Foreign Agent
<b>AS</b>	Access Stratum	<b>FDD</b>	Frequency Division Duplex
<b>AS</b>	Application Server	<b>FDM</b>	Frequency Division Multiplexing
<b>BCCH</b>	Broadcast Control Channel	<b>FDMA</b>	Frequency Division Multiple Access
<b>BCH</b>	Broadcast Channel	<b>FEC</b>	Forward Error Correction
<b>BER</b>	Bit Error Rate	<b>FFT</b>	Fast Fourier Transform
<b>BLER</b>	Block Error Rate	<b>FMC</b>	Fixed Mobile Convergence
<b>BPSK</b>	Binary Phase Shift Keying	<b>GBR</b>	Guaranteed Bit Rate
<b>BW</b>	Bandwidth	<b>GGSN</b>	Gateway GPRS Support Node
<b>C-RNTI</b>	Cell Radio Network Temporary Identity	<b>GI</b>	Guard Interval
<b>CDMA</b>	Code Division Multiple Access	<b>GP</b>	Guard Period
<b>CE</b>	Cyclic Extension	<b>GPRS</b>	General Packet Radio Service
<b>CFI</b>	Channel Format Indicator	<b>GSM</b>	Global System for Mobile Communication
<b>CN</b>	Core Network	<b>GUTI</b>	Globally unique Temporary UE identity
<b>CoA</b>	Care Of Address	<b>GUMMEI</b>	Globally Unique MME Identity
<b>CP</b>	Cyclic Prefix	<b>GT</b>	Guard Time
<b>CQI</b>	Channel Quality Indicator	<b>GTP</b>	GPRS Tunneling Protocol
<b>CRC</b>	Cyclic Redundancy Check	<b>GTP-U</b>	GTP User plane
<b>CS</b>	Circuit-Switched	<b>GW</b>	Gateway
<b>CS-CN</b>	Circuit Switched Core Network	<b>DRX</b>	Discontinuous Reception
<b>CSCF</b>	Call Session Control Function	<b>DS</b>	Direct Spread
<b>CSI</b>	Channel State Information	<b>DSCP</b>	Differentiated Service Code Point
<b>DCCH</b>	Dedicated Control Channel	<b>DTCH</b>	Dedicated Traffic Channel
<b>DCI</b>	Downlink Control Information	<b>DTX</b>	Discontinuous Transmission
<b>DFT</b>	Discrete Fourier Transform	<b>E-UTRA</b>	Evolved UMTS Terrestrial Radio Access
			Evolved UMTS Terrestrial Radio Access
<b>DHCP</b>	Dynamic Host Configuration Protocol	<b>E-UTRAN</b>	Network
<b>DL</b>	Downlink	<b>ECM</b>	EPS Connection Management
<b>DL-SCH</b>	Downlink Shared Channel	<b>eNB</b>	E-UTRAN Node B
			EPS Mobility Management
<b>DRA</b>	Dynamic Resource Allocation	<b>EMM</b>	

<b>EPC</b>	Evolved Packet Core	<b>IPv4</b>	Internet Protocol version 4
<b>EPS</b>	Evolved Packet System	<b>ISI</b>	Inter-Symbol Interference
<b>ESP</b>	Encapsulating Security Payload	<b>ISUP</b>	ISDN Signaling User Part
<b>EUTRAN</b>	Evolved UTRAN	<b>ITU</b>	International Telecommunication Union
<b>EV-DO</b>	Evolution for Data Optimized	<b>kbps</b>	kilo-bits per second
<b>FA</b>	Foreign Agent	<b>KHz</b>	Kilo Hertz
<b>FDD</b>	Frequency Division Duplex	<b>L1</b>	Layer 1 (physical layer)
<b>FDM</b>	Frequency Division Multiplexing	<b>L3</b>	Layer 3 (network layer)
<b>FDMA</b>	Frequency Division Multiple Access	<b>LB</b>	Load Balancing
<b>FEC</b>	Forward Error Correction	<b>LCR</b>	Low Chip Rate
<b>FFT</b>	Fast Fourier Transform	<b>LI</b>	Lawful Intercept
<b>FMC</b>	Fixed Mobile Convergence	<b>LTE</b>	Long Term Evolution
<b>GBR</b>	Guaranteed Bit Rate	<b>MAC</b>	Medium Access Control
<b>GGSN</b>	Gateway GPRS Support Node	<b>MAC</b>	Message Authentication Code
<b>GI</b>	Guard Interval	<b>MBMS</b>	Multimedia Broadcast Multicast Service
<b>GP</b>	Guard Period	<b>MBR</b>	Maximum Bit Rate
<b>GPRS</b>	General Packet Radio Service	<b>MBSFN</b>	MBMS Single Frequency Network
<b>GSM</b>	Global System for Mobile Communication	<b>MCCH</b>	Multicast Control Channel
<b>GUTI</b>	Globally unique Temporary UE identity	<b>MCH</b>	Multicast Channel
<b>GUMMEI</b>	Globally Unique MME Identity	<b>MCM</b>	Multi-Carrier Modulation
<b>GT</b>	Guard Time	<b>ME</b>	Mobile Equipment
<b>GTP</b>	GPRS Tunneling Protocol	<b>MGCF</b>	Media Gateway Control Function
<b>GTP-U</b>	GTP User plane	<b>MGW</b>	Media Gateway
<b>GW</b>	Gateway	<b>MHz</b>	Mega Hertz
<b>H-FDD</b>	Half-Frequency Division Duplex	<b>MIB</b>	Master Information Block
<b>HA</b>	Home Agent	<b>MIMO</b>	Multiple Input Multiple Output
<b>H-ARQ</b>	Hybrid ARQ	<b>MIP</b>	Mobile IP
<b>HDTV</b>	Hi Definition TV	<b>MISO</b>	Multiple Input Single Output
<b>HLR</b>	Home Location Register	<b>MMD</b>	Multimedia Domain
<b>HO</b>	Handover	<b>MME</b>	Mobility Management Entity
<b>HPLMN</b>	Home PLMN	<b>MMEGI</b>	MME Group Identity
<b>HSDPA</b>	High Speed Downlink Packet Access	<b>MMS</b>	Multimedia Messaging Service
<b>HSPA</b>	High Speed Packet Access	<b>MS</b>	Mobile Station
<b>HSS</b>	Home Subscriber Server	<b>MSC</b>	Mobile Switching Center
<b>HSUPA</b>	High Speed Uplink Packet Access	<b>MTCH</b>	MBMS Traffic Channel
<b>ICI</b>	Inter-Carrier Interference	<b>NACK</b>	Negative ACK
<b>ICIC</b>	Inter-cell Interference Coordination	<b>NAS</b>	Non-Access Stratum
<b>IDFT</b>	Inverse Discrete Fourier Transform	<b>NBM</b>	Network Based Mobility
	Institute of Electrical and Electronics Engineers		
<b>IEEE</b>	Engineers	<b>OFDM</b>	Orthogonal Frequency Division Multiplexing
<b>IETF</b>	Internet Engineering Task Force	<b>OFDMA</b>	Orthogonal Frequency Division Multiple Access
<b>IFFT</b>	Inverse Fast Fourier Transform	<b>OSS</b>	Operations System Support
<b>IMEI</b>	International Mobile Equipment Identity	<b>P-GW</b>	PDN Gateway
<b>IMS</b>	IP Multimedia Subsystem	<b>P-SCH</b>	Primary Synchronization Channel
<b>IMSI</b>	International Mobile Subscriber Identity	<b>PAPR</b>	Peak-to-Average Power Ratio
<b>IMT</b>	International Mobile Telecommunication	<b>PBCH</b>	Physical Broadcast Channel
<b>IN</b>	Intelligent Networks	<b>PCCH</b>	Paging Control Channel
<b>IP</b>	Internet Protocol	<b>PCH</b>	Paging Channel
<b>IPSec</b>	Internet Protocol Security	<b>PCFICH</b>	Physical Control Format Indicator Channel



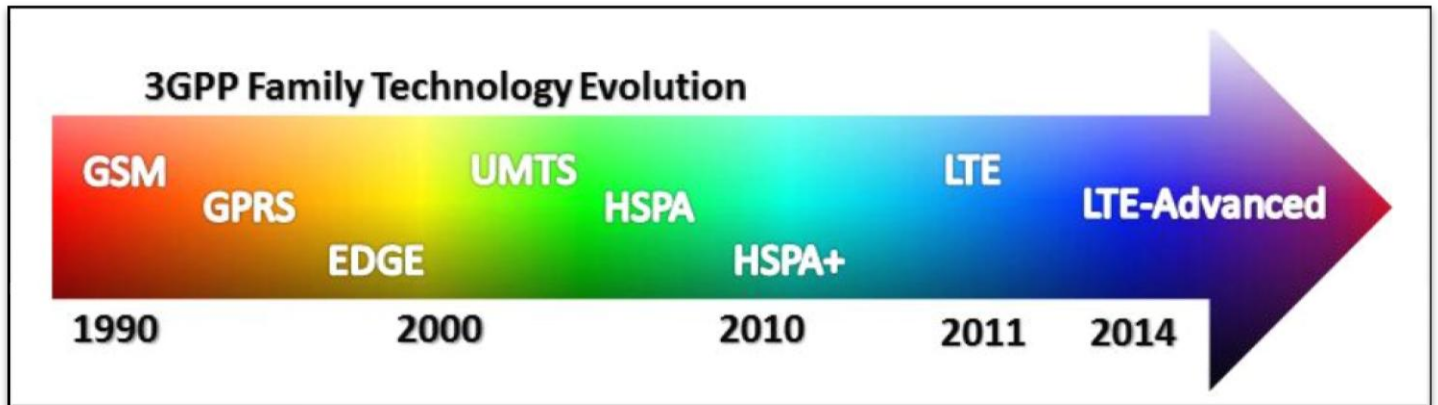
<b>PDP</b>	Policy Decision Point	<b>SDMA</b>	Space (or Spatial) Division Multiple Access
<b>PDSCH</b>	Physical Downlink Shared Channel	<b>SDF</b>	Service Data Flows
<b>PDU</b>	Protocol Data Unit	<b>SDU</b>	Service Data Unit
<b>PHICH</b>	Physical Hybrid ARQ Indicator Channel	<b>SG</b>	Signaling Gateway
<b>PHY</b>	Physical Layer	<b>SI</b>	System Information
<b>PLMN</b>	Public Land Mobile Network	<b>SI-1</b>	System Information message 1
<b>PMCH</b>	Physical Multicast Channel	<b>SIB</b>	System Information Block
<b>PMIP</b>	Proxy MIP	<b>SIMO</b>	Single Input Multiple Output
<b>PN</b>	Pseudo-random Noise	<b>SIP</b>	Session Initiation Protocol
<b>PRACH</b>	Physical Random Access Channel	<b>SIR</b>	Signal-to-Interference Ratio
<b>PRB</b>	Physical Resource Block	<b>SMS</b>	Short Message Service
<b>PRI</b>	Primary Rate Interface	<b>SN</b>	Service Node
<b>P-RNTI</b>	Paging Radio Network Temporary Identity	<b>SNR</b>	Signal-to-Noise Ratio
			Scalable Orthogonal Frequency Division
<b>PSDU</b>	Protocol Service Data Unit	<b>SOFDMA</b>	Multiplexing Access
<b>PSTN</b>	Public Switched Telephone Network	<b>SRNC</b>	Serving Radio Network Controller
<b>PUCCH</b>	Physical Uplink Control Channel	<b>STC</b>	Space Time Coding
<b>PUSCH</b>	Physical Uplink Shared Channel	<b>TA</b>	Tracking Area
<b>QAM</b>	Quadrature Amplitude Modulation	<b>TAI</b>	Tracking Area Identifier
<b>QCI</b>	QoS Class Identifiers	<b>TDD</b>	Time Division Duplex
<b>QoS</b>	Quality of Service	<b>TEID</b>	Tunnel Endpoint Identifier
<b>QPSK</b>	Quadrature Phase Shift Keying	<b>TFT</b>	Traffic Flow Template
<b>RACH</b>	Random Access Channel	<b>TM</b>	Transparent Mode
<b>RAN</b>	Radio Access Network	<b>TTI</b>	Transmission Time Interval
<b>RAT</b>	Radio Access Technology	<b>UCI</b>	Uplink Control Information
<b>RB</b>	Radio Bearer	<b>UDP</b>	User Datagram Protocol
<b>RB</b>	Resource Block	<b>UE</b>	User Equipment
<b>RF</b>	Radio Frequency	<b>UL</b>	Uplink
<b>RLC</b>	Radio Link Control	<b>UL-SCH</b>	Uplink Shared Channel
<b>ROCH</b>	Robust Header Compression	<b>UM</b>	Unacknowledged Mode
<b>RRC</b>	Radio Resource Control	<b>UMA</b>	Unlicensed Mobile Access
<b>RRM</b>	Radio Resource Management	<b>UMTS</b>	Universal Mobile Telecommunications System
<b>RSRP</b>	Reference Symbol Received Power	<b>UpPTS</b>	Uplink Pilot Time Slot
<b>RSSI</b>	Received Signal Strength Indicator	<b>UpTS</b>	Uplink Time Slot
<b>S-GW</b>	Serving Gateway	<b>VoIP</b>	Voice over Internet Protocol
<b>S1-U</b>	S1 - User Plane	<b>VPLMN</b>	Visited PLMN
<b>SAE</b>	System Architecture Evolution	<b>VRB</b>	Virtual Resource Blocks
<b>SC</b>	Single Carrier	<b>WCDMA</b>	Wideband Code Division Multiple Access
<b>SC-FDMA</b>	Single Carrier - Frequency Division Multiple Access		
<b>SCH</b>	Synchronization Channel	<b>Wi-Fi</b>	Wireless Fidelity
<b>SCTP</b>	Stream Control Transmission Protocol	<b>WiMAX</b>	Worldwide Interoperability for Microwave Access
		<b>WLAN</b>	Wireless Local Area Networks

# **Chapter – 1**

# **Long Term Evolution (LTE)**

# 1.1

## What is LTE?



- **LTE**, short for **Long Term Evolution**, is considered by many to be the obvious successor to the current generation of **UMTS 3G technology**, which is based upon **WCDMA, HSDPA, HSUPA, and HSPA**.
- **LTE** is not a replacement for **UMTS** (in the way that **UMTS** was a replacement for **GSM**), but rather an update to the **UMTS** technology that will enable it to provide significantly faster data rates for both uploading and downloading.
- Because **LTE** offers significant improvements over older cellular communication standards, some refer to it as a **4G (fourth generation)** technology along with **WiMax**.
- **LTE** is a standard for wireless communication of high-speed data for mobile phones and data terminals.
- It is based on the **GSM/EDGE** and **UMTS/HSPA** network technologies, increasing the capacity and speed using a different radio interface together

with core network improvements. The standard is developed by the **3GPP** (**3rd Generation Partnership Project**).

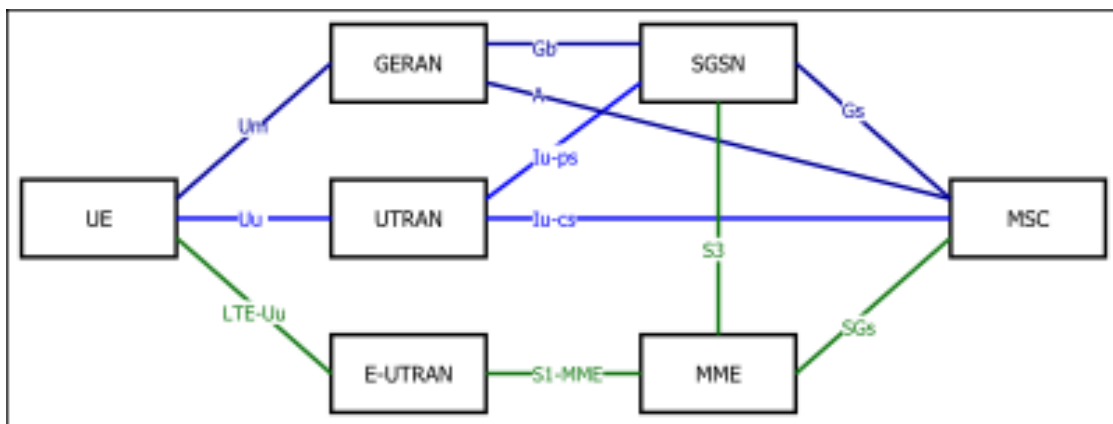
- Although marketed as a **4G wireless service**, **LTE** (as specified in the **3GPP** Release 8 and 9 document series) does not satisfy the technical requirements the **3GPP** consortium has adopted for its new standard generation.
  - However, due to marketing pressures and the significant advancements that **WIMAX**, **HSPA+** and **LTE** bring to the original **3G** technologies, **ITU** later decided that **LTE** together with the aforementioned technologies can be called **4G technologies**.
-

# 1.2

## Specifications of LTE

- The goal of **LTE** was to increase the capacity and speed of wireless data networks using new DSP (Digital Signal Processing) techniques and modulations that were developed around the turn of the millennium.
- A further goal was the redesign and simplification of the network architecture to an **IP-based** system with significantly reduced transfer latency compared to the **3G architecture**.
- The **LTE** wireless interface is incompatible with **2G** and **3G networks**, so that it must be operated on a separate wireless spectrum.
- The **LTE** specification provides **downlink peak rates of 300 Mbit/s, uplink peak rates of 75 Mbit/s** and **QoS provisions** permitting a transfer latency of **less than 5 ms** in the Radio Access Network (RAN).
- **LTE** has the ability to manage fast-moving mobiles and supports multi-cast and broadcast streams.
- LTE supports scalable carrier bandwidths, from **1.4 MHz to 20 MHz** and supports both **Frequency Division Duplexing (FDD)** and **Time-Division Duplexing (TDD)**.
- The **IP-based** network architecture, called the **Evolved Packet Core (EPC)** and designed to replace the **GPRS Core Network**, supports seamless handovers for both voice and data to cell towers with older network technology such as **GSM**, **UMTS** and **CDMA2000**.

- The simpler architecture results in lower operating costs (for example, each **E-UTRAN** cell will support up to four times the data and voice capacity supported by **HSPA**).
- Among other specifications, increased spectrum flexibility: 1.4 MHz, 3 MHz, 5 MHz, 10 MHz, 15 MHz and 20 MHz wide cells are standardized.
- Support for cell sizes from **tens of metres** radii (**Femto-** and **Picocells**) up to **100 km (62 miles)** radius **Macrocells**.
- In the lower frequency bands to be used in rural areas, **5 km (3.1 miles)** is the optimal cell size, **30 km (19 miles)** having reasonable performance, and up to **100 km** cell sizes supported with acceptable performance.
- In city and urban areas, higher frequency bands (such as **2.6 GHz** in **EU**) are used to support high speed mobile broadband; in this case, cell sizes may be **1 km (0.62 miles)** or even less.



**Figure: CS DOM LTE CSFB TO GSM/UMTS NETWORK INTERCONNECTS**

- With its architecture based on **Internet Protocol (IP)** unlike many other **Cellular Internet Protocols**, **Long Term Evolution (LTE)** supports browsing **Web-sites**, **VoIP** and other **IP-based** services well.

- **LTE** can theoretically support downloads at **300 Megabits per second (Mbps)** or more based on experimental trials.
  - However, the actual network bandwidth available to an individual **LTE** subscriber sharing the service provider's network with other customers is significantly less.
  - **Long Term Evolution** service is only available in limited geographic areas, but telecommunications providers have been actively expanding their **LTE** services.
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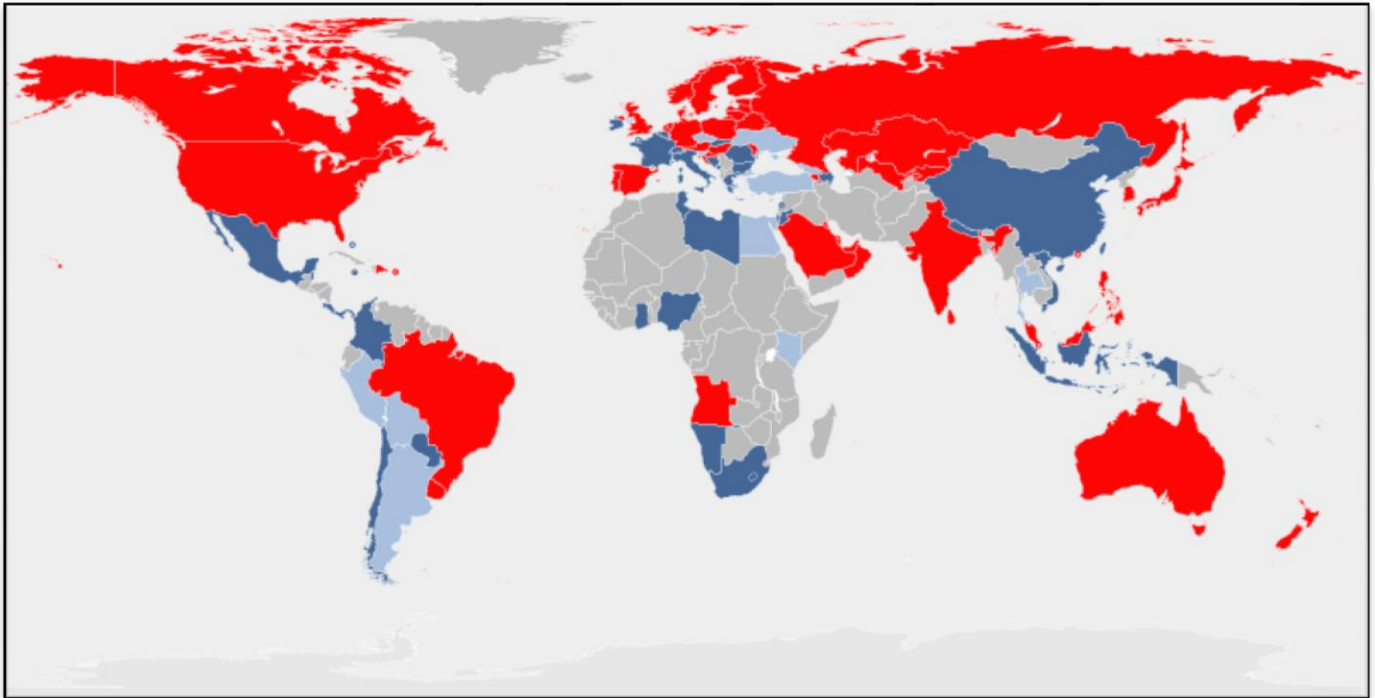
# 1.3

## Objective of LTE




- The overall objective for **LTE** is to provide an extremely high performance **Radio-Access Technology** that offers full vehicular speed mobility and that can readily coexist with **HSPA** and earlier networks.
- Because of scalable bandwidth, operators will be able to easily migrate their networks and users from **HSPA** to **LTE** over time.
- **LTE** assumes a full **Internet Protocol (IP)** network architecture and is designed to support voice in the packet domain.
- It incorporates top-of-the-line radio techniques to achieve performance levels beyond what will be practical with **CDMA** approaches, particularly in larger channel bandwidths.
- However, in the same way that **3G** coexists with **Second Generation (2G)** systems in integrated networks, **LTE** systems will coexist with **3G** and **2G** systems.
- Multimode devices will function across **LTE/3G** or even **LTE/3G/2G**, depending on market circumstances.
- LTE capabilities include:
  - Downlink peak data rates up to 326 Mbps with 20 MHz bandwidth.
  - Uplink peak data rates up to 86.4 Mbps with 20 MHz bandwidth.
  - Operation in both TDD and FDD modes.
  - Scalable bandwidth up to 20 MHz, covering 1.4 MHz, 3 MHz, 5 MHz, 10 MHz, 15 MHz, and 20 MHz in the study phase.



- Increased spectral efficiency over Release 6 HSPA by two to four times.
- Reduced latency, up to 10 milliseconds (ms) round-trip times between user equipment and the base station, and to less than 100 ms transition times from inactive to active.



**Figure: Adoption of LTE technology as of June 26, 2013.**

-  Countries with commercial LTE service
-  Countries with commercial LTE network deployment on-going or planned
-  Countries with LTE trial systems (pre-commitment)

## **Chapter – 2**

# **Small Cells In Long Term Evolution (LTE)**

## 2.1

### What is Small Cell?

- In laymen's term, a small cell solution is defined as a base station plus antenna with lower output power, smaller form factor and lower weight compared to a macro cell.
- Technically, we can define **Small Cells** as low-powered Radio Access Nodes that operate in spectrums having a range of **10 meters** to **1** or **2 kilometers**, compared to a mobile macrocell (which might have a range of a few tens of kilometers).
- Small cells are a vital element to **3G** data off-loading, and many mobile network operators see small cells as vital to managing **LTE Advanced** spectrum more efficiently compared to using just macrocells.
- It is often estimated that by **2017** a total of **5 million** Small Cells will ship annually.

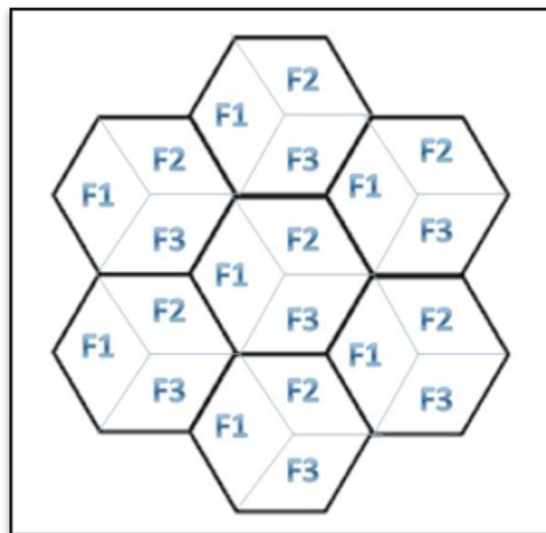


Figure: Small Cells With Cluster Size Seven (7).

## 2.2

### Small Cell Types

- Small Cells can be of 3 types:
  1. Femtocells
  2. Picocells
  3. Microcells
- Small-Cell networks can also be realized by means of distributed radio technology consisting of centralised baseband units and remote radio heads.
- **Beam-Forming Technology** (focusing a radio signal on a very specific area) can be utilized to further enhance or focus small cell coverage.
- A common factor in all these approaches to small cells is that they are centrally managed by mobile network operators.
- Small cells provide a small radio footprint, which can range from 10 meters within urban and in-building locations to 2 km for a rural location.
- Picocells and microcells can also have a range of a few hundred meters to a few kilometers, but they differ from femtocells in that they do not always have self-organising and self-management capabilities.

## 2.3

### Why Small Cells Are Used?



- The main purpose of small cells are:
  1. To improve mobile data coverage.
  2. To improve capacity in areas where macro cells are over-burdened.
- Small cells are used to provide in-building and outdoor wireless service.
- This means, mobile operators use small cells to extend their service coverage and/or increase network capacity and can offload traffic as much as 80% during peak times.
- It is often estimated that by 2015, 48% of mobile data traffic will be offloaded from the macro network.
- No individual technology will dominate offloading.
- It is also believed that small cells help service providers discover new revenue opportunities through their location and presence information.



- The service provider, with the user's permission, could share this location information to update user's social media status, for instance.
- Rural coverage is also a key market that has developed as mobile operators have started to install public access **Metrocells** in remote and rural areas that either have only 2G coverage or no coverage at all.



- The cost advantages of small cells compared with macro cells make it economically feasible to provide coverage of much smaller communities - from a few tens to a few hundreds.
- To summarise, small cells are used because:
  - High-rise buildings and street canyons often cause coverage holes in certain parts of the topology.
  - High user densities also cause the capacity of macro cells to be exhausted.
  - Therefore, placing small cells at strategic points in these areas helps to overcome coverage and capacity problems.
  - Small cells are also often seen as a low-cost alternative to macro cells in rural and hard-to-reach areas because of their ease of installation.

## 2.4

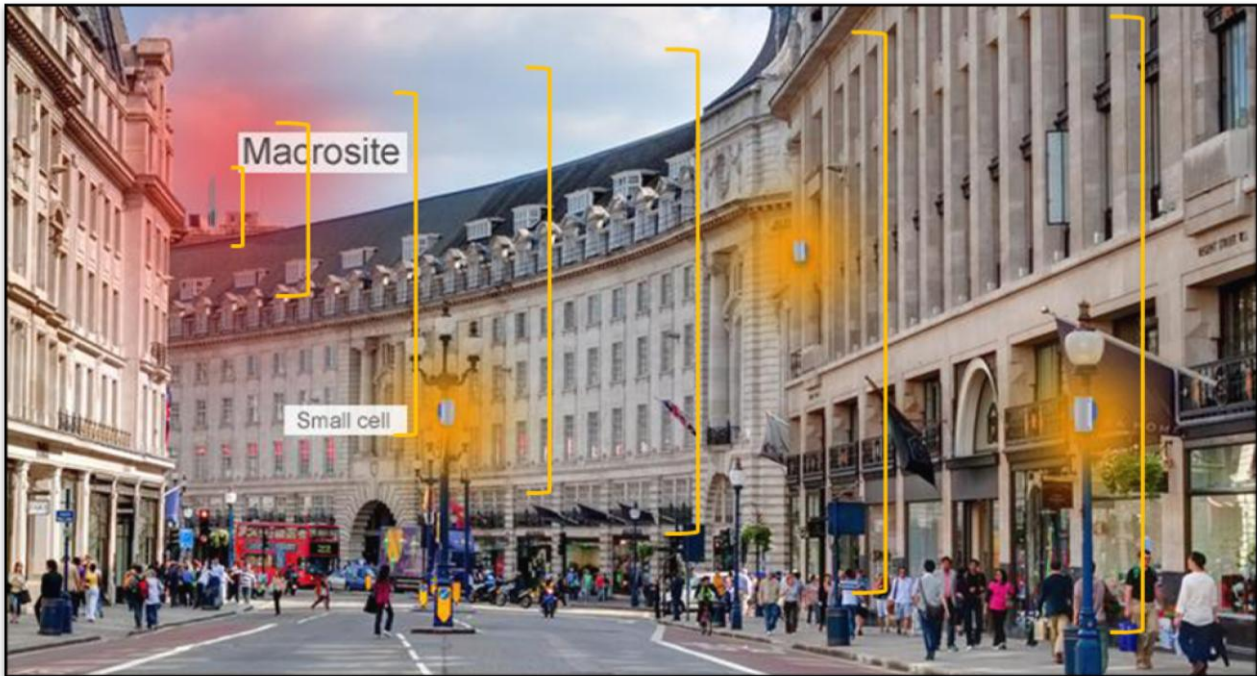
### How are Small Cells Deployed?

- Building on the global expertise in the field of small cell technology, LTE small cell technology has been developed.
- LTE small cell solution is designed to provide operators' networks with enhanced indoor and outdoor LTE coverage.
- It represents an efficient way to add capacity in areas that experience dense network traffic, increasing connectivity at a fraction of the cost of adding an entire base station.
- Commercially ready and deploying worldwide, LTE small cells are designed to bring a cost-effective way to deliver high-data throughputs to mobile subscribers.



(a)





(b)

**Figure:** (a) Small Cells in a populated urban location shown by the yellow highlights.  
(b) Macrocells (red highlights) supporting the small cells.



## 2.5

### Drawbacks of Small Cells

- The main drawbacks of Small Cells are as follows:
    - Radio Planning is Difficult.
    - Optimization is Complicated.
    - Higher CAPEX (capital expenditure) due to high eNB (eNodeB) cost and due to backhaul.
-

## **Chapter – 3**

# **Small Cells Backhaul**

## 3.1

### What is Backhaul?

- In laymen's terminology, backhaul can be explained as follows:

*“Visualizing the entire hierarchical network as a human skeleton, the core network would represent the spine, the backhaul links would be the limbs, the edge networks would be the hands and feet, and the individual links within those edge networks would be the fingers and toes.”*

- Backhaul in telecommunication is concerned with transporting traffic between distributed sites and more centralised points of presence (POPs).
- 

## 3.2

### Function of Backhaul

- The main function of backhaul is:

*“To provide connectivity between large number of small cell sites back to PoP with connectivity to the core network.”*

---

# 3.3

## Summary of Backhaul Requirements

<b>Cost</b>	Cheaper	<ul style="list-style-type: none"> <li>→ Cost per link should be lower.</li> <li>→ Cost per bit may be similar.</li> </ul>
<b>Capacity</b>	Traffic load is lighter but burstier	Small cells generate less backhaul traffic than macrocells, but the traffic is much burstier.
<b>Size &amp; Weight</b>	Smaller and lighter stations	<ul style="list-style-type: none"> <li>→ Small cells require deployment in locations with limited space availability.</li> <li>→ Therefore, compact backhauling solution is essential.</li> </ul>
<b>Access to Backhaul</b>	More difficult	<ul style="list-style-type: none"> <li>→ Small cells are close to users – on the street and indoors, relatively far from backhaul sites.</li> <li>→ These sites are harder to reach than tower-based macrocells.</li> </ul>
<b>Installation and Commissioning</b>	Faster, simpler, cheaper	<ul style="list-style-type: none"> <li>→ Consumer femtocells are plug-and-play.</li> <li>→ Femtocell backhauling should also work this way.</li> </ul>

## 3.4

### Fundamental Requirements of Backhaul Connectivity

- **Coverage:** Backhaul solution must be able to reach the small cells in difficult locations.
- **Capacity:** Backhauling ten small cells require a system with significantly greater capacity than each small cell.
- **Cost:** Small cell backhaul needs to have many more connections than a macrocell network. Cost per connection needs to be lower.



**Figure: Small Cell Backhaul Unit – Convenient and Easy To Deploy.**

## 3.5

### Types of Backhaul Solutions

#### 1. Non-Line of Sight (NLoS) wireless: (Good for coverage, but capacity limited by available spectrum)

- NLoS wireless backhaul would be the perfect solution were it not for the small cells and **Wi-Fi** hotspots already using the entire low frequency spectrum available.
- NLoS propagation requires low carrier frequencies of less than a **few GHz** which are highly prized for mobile access itself.
- The height of the bar indicates the **MHz** of bandwidth for **uplink** and **downlink** traffic.
- As a general rule, the bandwidth available for backhaul needs to be at least as much as that for access.
- Some claim that the spectral efficiency of the backhaul will be higher to compensate, but this seems unlikely given that access and backhaul are operating in very similar (**NLoS**) propagation conditions and with interference from nearby co-channel transmitters.

#### 2. Microwave: (Plenty of spectrum, a mature technology for fixed links)

- Large amounts of bandwidth are available at ‘microwave’<sup>17</sup> frequencies from 10-60GHz, which in turn means lots of capacity.
- These frequencies are already widely used for high-capacity fixed communication links with Point-to-Point and multipoint topologies.
- The small wavelength at these frequencies brings a mix of benefits and challenges.

- On the plus side, high-gain, compact antennas are easy to build which improves link budgets, however such antennas need to be carefully aligned to the other end of the link.
- The short wavelength also means that effectively line of sight is the only option as diffraction and penetration around or through buildings and trees incurs high losses.
- This can be turned to an advantage as the high attenuation helps reduce interference from nearby links wishing to re-use the same frequencies.
- Given the maturity of technologies and availability of spectrum for high-capacity backhaul, microwave looks to be the mainstay of small cell backhaul solutions.

### **3. NLoS backhaul is built into the LTE standards:**

- A feature rather like NLoS backhaul, called ‘in-band relay’, is included in the LTE advanced standard<sup>16</sup> where a base station can use half of the access spectrum to backhaul signals to a connected ‘donor’ cell site.
- Whilst this is good for extending coverage for early deployments, spectral efficiency for end-user traffic is effectively halved, so it is not a capacity-enhancing solution needed to meet increasing demand.

### **4. Point-to-Point uses one or more links to connect cells to a PoP:**

- Each link requires an antenna and radio at each end, and so the PoP site can easily become crowded with too many antennas.
- The solution to this is to create a tree structure of intermediate nodes.
- Provisioning capacity across the tree requires consideration of the number of downstream small cells, so adding in new small cells may require a re-planning of the network.
- Furthermore, since frequency allocations are often managed on a per link basis, building out such networks can be time consuming.

- This has not prevented widespread use of microwave Point-to-Point for macrocell sites, but for the rapid rollout and continuous evolution required for small cell networks, it is likely to be untenable.

## **5. Multipoint topology is what has always been used in the access network itself:**

- A central ‘hub’ shares its capacity amongst a number of terminals.
- Since traffic demands are bursty, it is not sensible to permanently allocate a fixed resource to each small cell that may only need it occasionally.
- It is more efficient to pool resources across a larger number of cell sites and average out any difference in traffic demand at different times of day.
- The result is a much higher utilisation of the spectral resource, and ultimately more useable capacity per Hz of spectrum.
- It is not only the capacity that is shared, but the equipment too.
- Like access networks, hub sites use sector antennas which provide coverage over a large surrounding area, each serving many small cells.
- This has the added benefit of halving installation costs, since only the small cell end of the link needs to be visited to connect up the backhaul to the hub.
- Multipoint microwave is fundamentally well suited to small cell backhaul due to:
  - High-capacity
  - Wide bandwidths are available at microwave frequencies, and capacity is pooled between a large number of bursty small cells
  - Rapid rollout
  - Multipoint spectrum is normally area licensed so does not require regulator frequency assignment for every new link
  - New small cell sites can be added without a visit to the hub site
  - Low-cost



- Multipoint topology improves spectral utilisation, reducing bandwidth needed for a given number of small cells at a given level of performance
- Shared antennas and radios at the hub site means costs can be amortised over the many small cells supported.

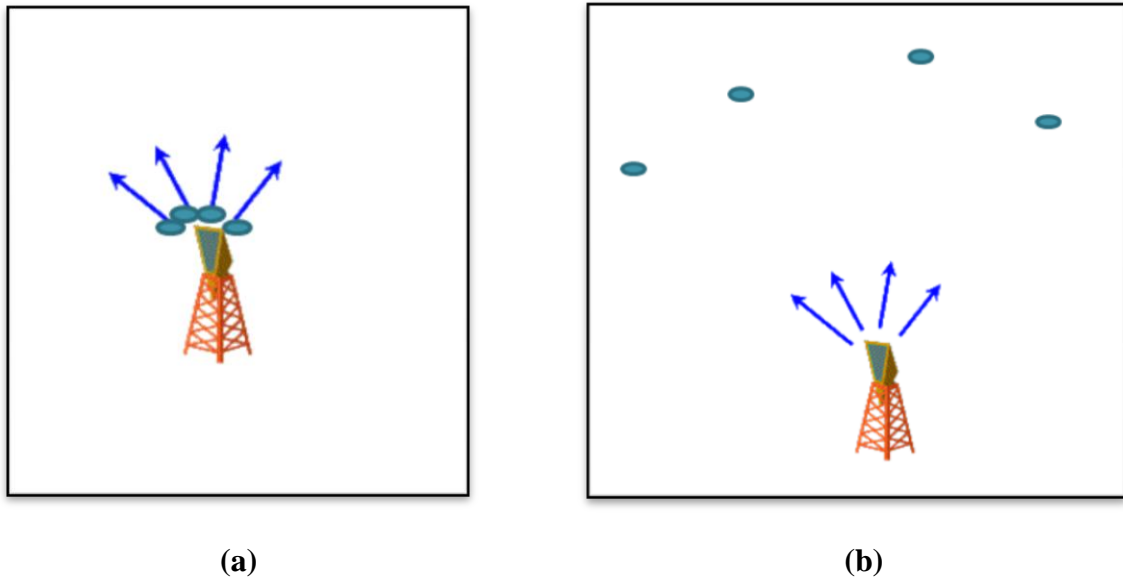


Figure: (a) & (b) demonstrates point to multipoint backhaul

## 6. Line Of Sight (LoS) Coverage In Urban Environments:

- As with all microwave based solutions, LoS is required for links to function with the desired quality of service.
- The likelihood of line-of-sight (LoS) is an essential component in any radio channel model.
- It is particularly useful for radio network planning and urban coverage prediction.
- Empirical LoS models are hard to derive due to a strong dependency on local topology and the need for large measurement datasets.

- Since buildings are the major obstructions in a dense urban environment, we propose a new theoretical model to determine the LoS probability for air-to-ground channels based on local building geometry and knife-edge diffraction theory.
- The model takes into account key statistical parameters such as building height, building size, building coverage, street width and street angle distribution.
- The theoretical model is shown to agree well with ray tracing simulation results.
- The statistical parameters, such as mean building height, percentage of area covered by buildings (building coverage) and building density, can all be easily obtained for a specific location.
- We also derive equations for the likelihood of LoS for a direct slant path.
- These equations can be used in the analysis of air-to-ground channels.

## **7. Multiple backhaul solutions:**

1. Fibre where practical and cost-effective.
2. High-capacity multipoint microwave wireless everywhere else using an effective selection process for the best suited solution at each small cell deployment.

## 3.6

### Small Cell Backhaul Designing

- Backhaul provides for transmission link or connectivity between a cell site and the core network.
- In our case we have used the point to multipoint microwave backhaul which is suitable as discussed earlier.

#### 3.6.1 Designing Backhaul with Frequency Reuse

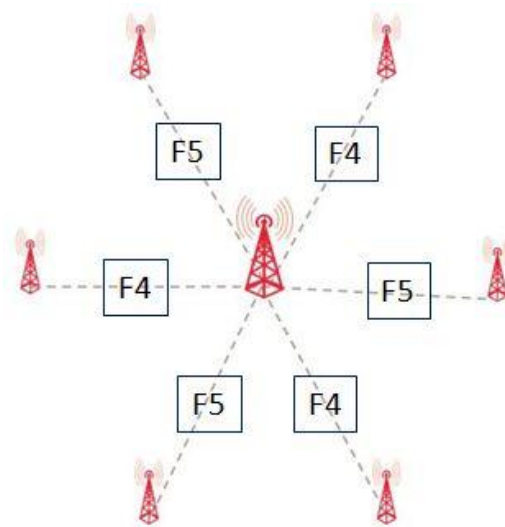


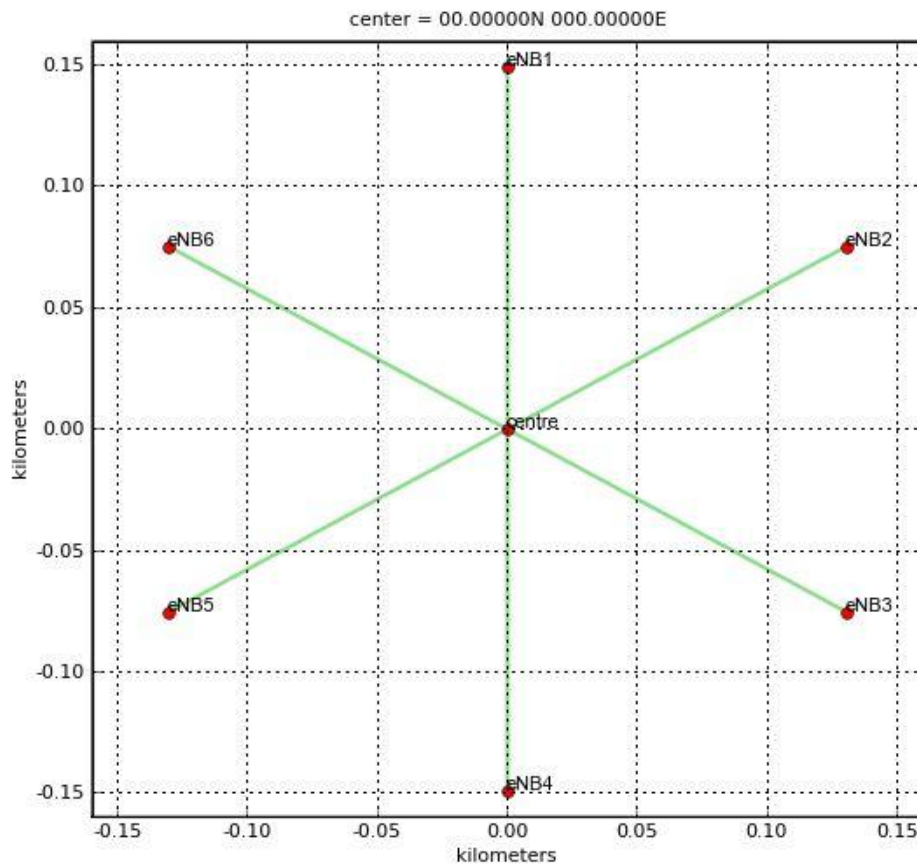
Figure: Small Cell Backhaul Layout.

- The allocated frequency for our backhaul is **10MHz**, which is divided into two parts of **5MHz** each (**F4 = F5 = 5MHz**).
- The implementation of frequency reuse is shown in above Figure; the adjacent **eNBs** uses different frequencies to avoid Interference among them.

- Overbooking factor depends on the percentage of subscribers who are on at the peak time and the percentage downloading on the internet at the same time.
- We are assuming an overbooking factor of 1.6.
- Therefore the required backhaul spectrum =  $1.6 \times 27.5 = 44 \text{ Mb/s}$ .

### 3.6.2 Backhaul Simulation

- Motorola P2P Link Planner was used to simulate the backhaul for Small Cells. The layout of the design is shown below:



**Figure: Small Cell Backhaul Layout**

- The central eNB provides the backhaul for the surrounding six eNBs of smaller size.
- A hexagonal shape is formed similar to the ones used in Vienna Simulator.
- **Input Parameters:**
  - Inter eNB distance: 150m
  - Transmitting BW: 5MHz
  - Antenna type: Motorola Integrated Dual Polar Antenna for center, Dual Polar Parabolic SPD1 2.3 for others.
  - Height: 20m for center node, 15m for others
  - Gain: 18dBi for center, 13.8 dBi for others

### 3.6.3 Simulation Results

- We are looking for the maximum throughput that can be provided by our backhaul with the available resources.
- From table 3.1 we can see that the aggregate throughput value is 48.4 Mb/s. The table shows:
  - I. the inter eNB distances (km)
  - II. the height of the eNBs(m)
  - III. the antenna gain (dBi) and
  - IV. the power loss (dB)

Links									
Name	Range (km)	Product	Aggregate Throughput (Mbps)	Link Availability	Left Height (m)	Left Gain (dBi)	Right Height (m)	Right Gain (dBi)	Link Loss (dB)
centre to eNB1	0.149	PTP25600	48.4	100.0000	20	18.0	15	13.8	84.0
centre to eNB2	0.150	PTP48600	48.4	100.0000	20	22.0	15	22.0	89.9
centre to eNB3	0.150	PTP25600	48.4	100.0000	20	18.0	15	18.0	84.1
centre to eNB4	0.149	PTP49600	48.4	100.0000	20	22.0	15	22.0	89.8
centre to eNB5	0.150	PTP25600	48.4	100.0000	20	18.0	15	18.0	84.1
centre to eNB6	0.150	PTP49600	48.4	100.0000	20	22.0	15	22.0	89.9

Table: 3.1

### 3.6.4 Overview of the link between two eNBs

- Considering the link between the centre and one of the surrounding eNBs, we can compare the configurations illustrated in the Table 3.2.
- The EIRP is calculated from the formula given below using the values stated in the table.
- The total path loss is the summation of all the other losses in the link.
- In radio communication systems, effective **Isotropically** radiated power (**EIRP**) is the amount of power that a theoretical isotropic antenna (which evenly distributes power in all directions) would emit to produce the peak power density observed in the direction of maximum antenna gain.
- **EIRP** can take into account the losses in transmission line and connectors and includes the gain of the antenna.
- The **EIRP** is often stated in terms of decibels over a reference power emitted by an isotropic radiator with equivalent signal strength.
- The **EIRP** allows comparisons between different emitters regardless of type, size or form.
- From the **EIRP**, and with knowledge of a real antenna's gain, it is possible to calculate real power and field strength values.

$$EIRP = P_T - L_c + G_a$$

Where  $EIRP$  and  $P_T$  (output power of transmitter) are in **dBm**, cable losses ( $L_c$ ) are in **dB**, and antenna gain ( $G_a$ ) is expressed in **dBi**, relative to a (theoretical) isotropic reference antenna.

The screenshot displays a software interface for configuring and summarizing a link between two sites: 'centre' and 'eNB1'.

**Configuration at Each End:**

- centre:** Motorola Integrated Dual Polar Antenna (18.0dBi), Antenna Height: 20 meters (Max height at site is 20.0 m), Maximum EIRP: 36.0 dBm (User limit), Maximum Power: 18.0 dBm (User limit), Interference: unchecked.
- eNB1:** Radio Waves 1ft Dual-Polar Parabolic SPD1 2.3 (13.8dBi), Antenna Height: 15 meters (Max height at site is 15.0 m), Cable Loss: 1.0 dB (Calculate), Maximum EIRP: 30.8 dBm (User limit), Maximum Power: 18.0 dBm (User limit), Interference: unchecked.

**Performance Summary:**

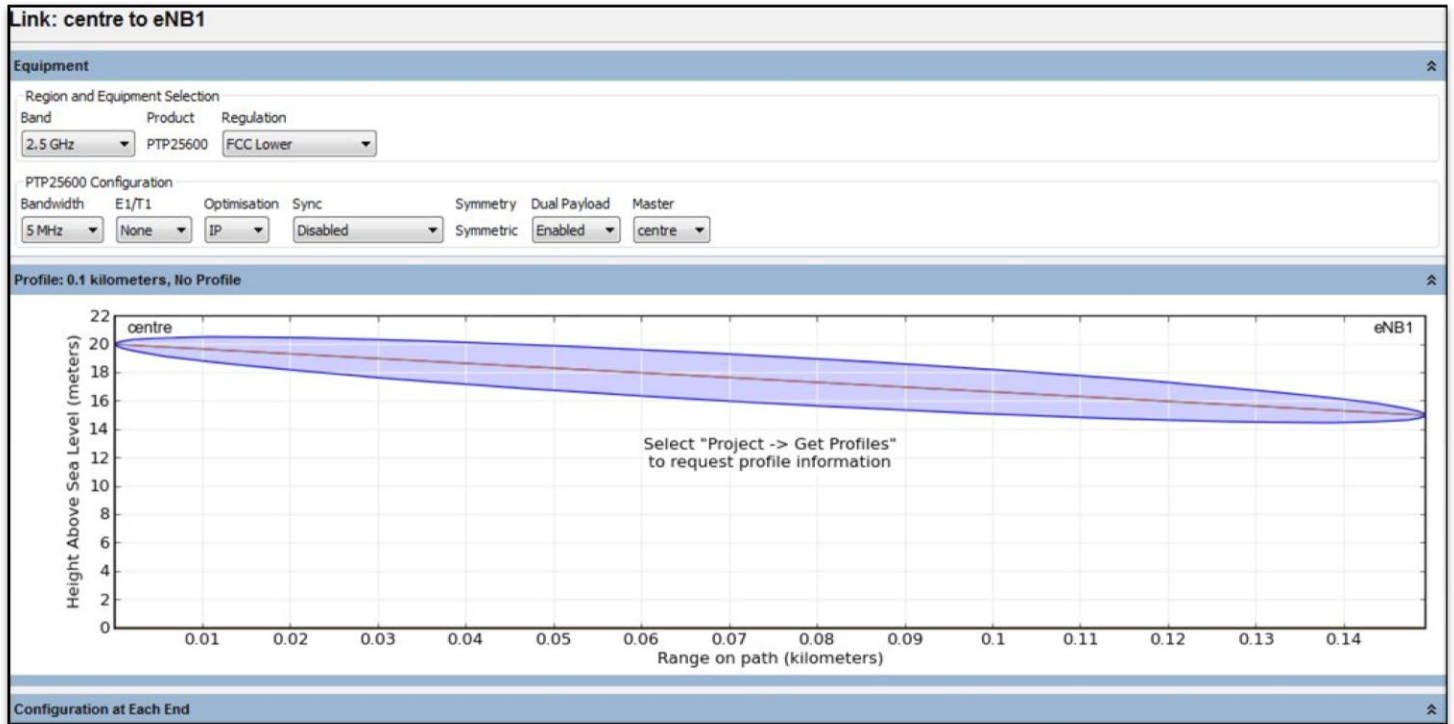
- Performance to centre:** Predicted Receive Power: -35 dBm ± 5 dB, Mean IP Predicted: 24.22 Mbps, Mean IP Required: 5.0 Mbps, % of Required IP: 484 %, Min IP Required: 1.0 Mbps, Min IP Availability Required: 99.9900 %, Min IP Availability Predicted: 100.0000 %.
- Link Summary:** Aggregate IP Throughput: 48.43 Mbps, Lowest Mode Availability: 100.0000 %, System Gain Margin: 63.73 dB, Free Space Path Loss: 84.00 dB, Gaseous Absorption Loss: 0.00 dB, Excess Path Loss: 0.00 dB, Total Path Loss: 84.00 dB.
- Performance to eNB1:** Predicted Receive Power: -35 dBm ± 5 dB, Mean IP Predicted: 24.22 Mbps, Mean IP Required: 5.0 Mbps, % of Required IP: 484 %, Min IP Required: 1.0 Mbps, Min IP Availability Required: 99.9900 %, Min IP Availability Predicted: 100.0000 %.

**Performance Details:** (Section header visible at the bottom of the summary area)

Table: 3.2

### 3.6.5 Line of Sight (LOS) Link

- The Line of Sight communication needs to ensure that there are no obstacles between any two links, thus a direct link is established between the transmitter and the receiver which means it lies in the first Fresnel zone.
- Table 3.3 demonstrates the LOS link between the centre and the eNB1.
- The elliptical shape is referring to the radiation path between the two links.



**Table: 3.3**



### 3.6.6 Bill of Materials

The backhaul set-up requires the equipments listed in Table 3.4 below.

Bill of Materials for try3		
P/N	Description	Qty
(no part number)	Radio Waves 1ft Dual-Polar Parabolic SPD1 2.3	1
WB2782	PTP 25600 (5 MHz) Integrated - Link Complete	2
WB2784	PTP 25600 (5 MHz) Integrated - End Complete	1
WB2785	PTP 25600 (5 MHz) Connectorised - End Complete	1
WB2907	LPU End Kit PTP 600 (2 kits required per Link)	12
WB3176	328 ft (100 m) Reel Outdoor Copper Clad CAT5E (Recommended for PTP)	6
WB3225	PTP 49600 (5 MHz) Integrated - Link Complete	2
WB3378	PTP 48600 Full Integrated - Link Complete	1

Table 3.4

## Chapter – 4

# Femtocells in LTE

## 4.1

### What are Femtocells?

- **Femtocells** can be defined as:

*“Low-power access points using mature mobile technology in licensed spectrum generating coverage and capacity over internet-grade backhaul at low prices with full operator management self-organising, self-managing.”*

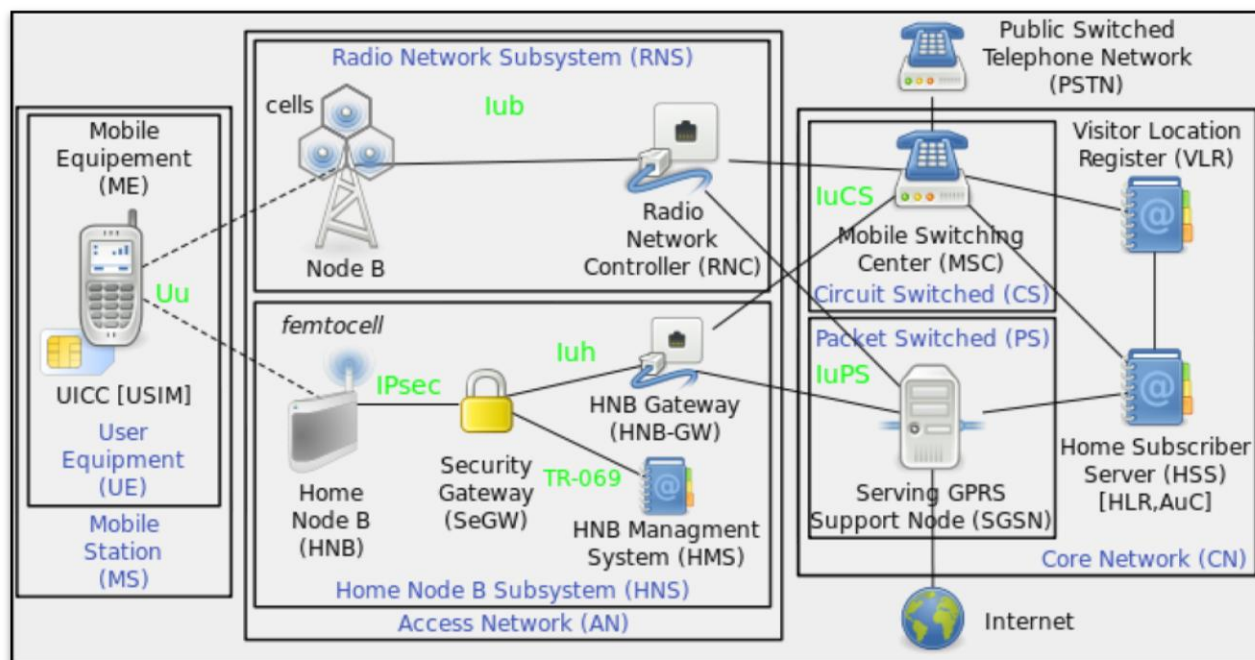
- In telecommunications, a **Femtocell** is a small, low-power cellular base station, typically designed for use in a home or small business.
- A broader term which is more widespread in the industry is small cell, with **Femtocell** as a subset.
- It connects to the service provider’s network via broadband (such as DSL or cable); current designs typically support two to four active mobile phones in a residential setting, and eight to 16 active mobile phones in enterprise settings.
- A **Femtocell** allows service providers to extend service coverage indoors or at the cell edge, especially where access would otherwise be limited or unavailable.
- Although much attention is focused on WCDMA, the concept is applicable to all standards, including GSM, CDMA2000, TD-SCDMA, WiMAX and LTE solutions.



- For a mobile operator, the attractions of a **Femtocell** are improvements to both coverage and capacity, especially indoors.
  - Consumers benefit from improved coverage and potentially better voice quality and battery life.
  - Depending on the carrier they may also be offered more attractive tariffs, e.g., discounted calls from home.
  - **Femtocells** are an alternative way to deliver the benefits of **Fixed-Mobile Convergence (FMC)**.
  - The distinction is that most **FMC** architectures require a new (dual-mode) handset which works with existing unlicensed spectrum home/enterprise wireless access points, while a **Femtocell** based deployment will work with existing handsets but requires installation of a new access point that uses licensed spectrum.
  - In **3GPP** terminology, a **Home Node B (HNB)** is a **3G Femtocell**. A **Home eNode B (HeNB)** is an **LTE Femtocell**.
  - Typically the range of a standard base station may be up to **35 kilometres (22 mi)**, a **Microcell** is less than two kilometers wide, a **Picocell** is 200 meters or less, and a **Femtocell** is on the order of 10 meters.
-

# 4.2

## Femtocell Architecture



**Figure:** Simplified version of traditional Node B and Home Node B (3G femtocell) in 3G architecture.

- **Femto/ HeNB** fits well with flat Enhanced Packet Core architecture.
- **HeNB** Gateway is optional and transparent, delivering concentration and scalability without sacrificing architectural simplicity.
- Reuses standardized open management approach.
- **Femtocells** form an essential element in the heterogeneous network toolkit for **LTE** operators (no more one-size-fits-all approach).

## 4.3

### Operating Mode of Femtocells

- **Femtocells** are sold by a Mobile Network Operator (MNO) to its residential or enterprise customers.
- A **Femtocell** is typically the size of a residential gateway or smaller, and connects to the user's broadband line.
- Integrated **Femtocells** (which include both a DSL router and femtocell) also exist.
- Once plugged in, the **Femtocell** connects to the MNO's mobile network, and provides extra coverage.
- From a user's perspective, it is plug and play, there is no specific installation or technical knowledge required—anyone can install a **Femtocell** at home.
- In most cases, the user must then declare which mobile phone numbers are allowed to connect to his **Femtocell**, usually via a web interface provided by the MNO.
- This needs to be done only once.
- When these mobile phones arrive under coverage of the **Femtocell**, they switch over from the Macrocell (outdoor) to the **Femtocell** automatically.
- Most **MNOs** provide a way for the user to know this has happened, for example by having a different network name appear on the mobile phone.
- All communications will then automatically go through the **Femtocell**.

- When the user leaves the **Femtocell** coverage (whether in a call or not) area, his phone hands over seamlessly to the macro network.
- **Femtocells** require specific hardware, so existing **WiFi** or **DSL** routers cannot be upgraded to a **Femtocell**.
- Once installed in a specific location, most **Femtocells** have protection mechanisms so that a location change will be reported to the MNO.
- Whether the **MNO** allows **Femtocells** to operate in a different location depends on the **MNO's** policy.
- International location change of a **Femtocell** is not permitted because the **Femtocell** transmits licensed frequencies which belong to different network operators in different countries.

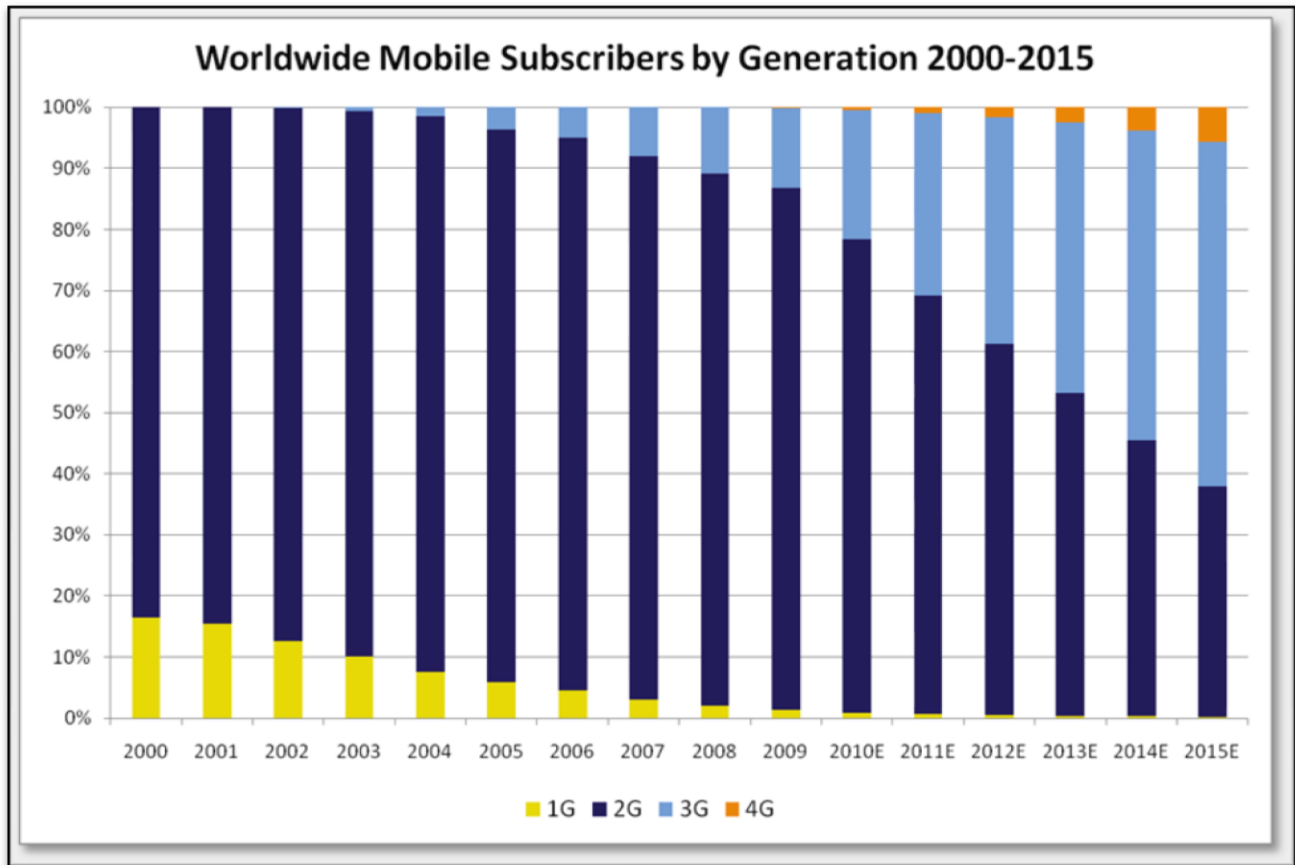
## 4.4

### The Need For LTE Femtocells

- Applications of **Femtocells** include:
  - Residential
  - Enterprise
  - Hot spot
  - Metro
- Over the next few years, billions of devices will be connected to the Internet and cloud-based applications using **3G** and **LTE** mobile wireless networks, creating tremendous demand for mobile wireless capacity and ubiquitous coverage.
- In their 2011 *Visual Networking Index*, Cisco projects that global mobile data traffic will increase 26-fold between 2010 and 2015. This has been called the “mobile data tsunami”.
- Traditional macro cellular networks alone, even with advances in **4G** technology, simply cannot meet this demand because:
  - There is a limit to how many outdoor cell sites can be built. Cell sites are costly, and new site proposals often encounter local resistance.
  - The spectrum available to any particular operator is limited; the growth in capacity from **4G** spectral efficiency plus anticipated new spectrum will still be outstripped by increase in demand.
  - Cell site backhaul, though available, is expensive.
- As a result, macro radio access networks will not be able to keep pace with the growth in demand for mobile data.
- Meanwhile, the price that consumers will pay, on a per megabit basis, is declining. In 2007, BlackBerry dominated the smartphone market.



- Users paid \$30-\$45 a month and typically consumed **25 MB** of data.
- Today, an iPhone or Android user pays \$30/month and often consumes over **500MB** of data.
- To handle the data tsunami cost-effectively and provide ubiquitous coverage, operators must offload data traffic from the macro-cellular network to the fixed line broadband network when users are inside buildings.
- Consumers expect this offload to be done in a transparent fashion, wherever they are—especially indoors.
- As consumers become accustomed to **LTE** speeds, they will expect these speeds whether they are outdoors or in-building.
- It is believed that **Femtocells** provide the best solution for seamless, transparent offload and ubiquitous coverage for high-speed data.
- **LTE Femtocells** need to be Multimode  
Leading mobile operators are planning aggressive **LTE** service roll-outs.
- However, even in the most optimistic of scenarios, **3G** subscriber devices will outnumber **LTE** devices for many years to come.
- Historically there has been a 10-year interval between the ratification of a new generation standard and the time when its device shipments overtake those of the previous generation.
- As an example, ABI Research reported that shipments of 3G handsets overtook **2G** handset shipments only in Q1 of 2010.
- The data below from Deutsche Bank suggests that this pattern will continue in the migration from **3G** to **4G**.



- Furthermore, while **LTE** standards for data are well established, there are still multiple proposed ways to deliver voice services over **LTE** networks.
- The likely outcome of this will be that operators will continue to deliver voice via their **3G** networks even as they move data to **LTE**.
- As a result, subscriber devices will be a mix of **3G-only** and **4G plus 3G**, with very few being **4G-only**.
- To support these subscribers comprehensively across all types of mobile services, operators must similarly deploy a multi-mode radio access infrastructure including multimode **Femtocells**.

	<b>3G Femtocell</b>	<b>4G Femtocell</b>	<b>Multimode</b>
<b>Data on 4G/3G Device</b>	X	XX	XX
<b>Data on 3G-only Device</b>	X	via macro	X
<b>Voice</b>	X	via macro	X

---

## 4.4

### Benefits For Users

- The main benefits for an end-user are the following:
  - “5 bar” coverage when there is no existing signal or poor coverage.
  - Higher mobile data capacity, which is important if the end-user makes use of mobile data on his mobile phone (may not be relevant to a large number of subscribers who instead use WiFi where femtocell is located).
  - Depending on the pricing policy of the MNO, special tariffs at home can be applied for calls placed under **Femtocell** coverage.
  - For enterprise users, having **Femtos** instead of **DECT** ("cordless" home) phones enables them to have a single phone, so a single contact list, etc.
  - Improved battery life for mobile devices due to reduced transmitter-receiver distance.
  - **Femtocells** can be used to give coverage in rural areas.
- Simplified version of traditional **Node B** and **Home Node B (3G Femtocell)** in **3G** architecture.
- The standards bodies have published formal specifications for **Femtocells** for the most popular technologies, namely **WCDMA**, **CDMA2000**, **LTE** and **WiMAX**.
- These all broadly conform to an architecture with three major elements:
  1. The **Femtocell** access points themselves, which embody greater network functionality than found in **Macrocell** base-stations, such as the radio resource control functions. This allows much greater

autonomy within the **Femtocell**, enabling self-configuration and self-optimisation.

2. **Femtocells** are connected using broadband IP, such as DSL or cable modems, to the network operator's core switching centers.
  3. The **Femtocell** gateway, comprising a security gateway that terminates large numbers of encrypted IP data connections from hundreds of thousands of **Femtocells**, and a signaling gateway which aggregates and validates the signaling traffic, authenticates each **Femtocell** and interfaces with the mobile network core switches using standard protocols.
  4. The management and operational system which allows software updates and diagnostic checks to be administered. These typically use the same TR-069 management protocol published by the Broadband Forum and also used for administration of residential modems.
-

## **Chapter – 5**

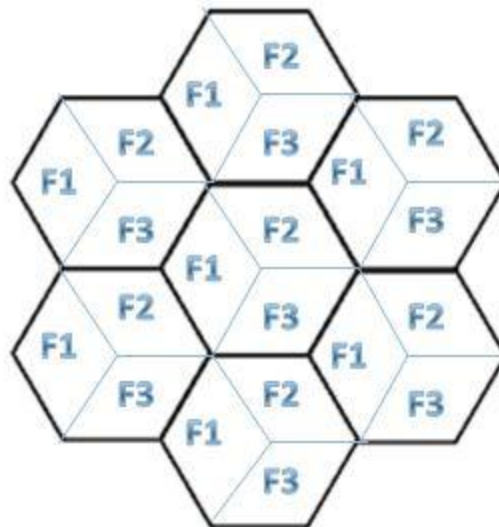
# **Performance Analyses of Small Cells and Femtocells in LTE**

# 5.1

## MATLAB Simulation of Small Cells

- In order to make a comparison between the Small Cells and Femtocells we used the **Vienna Simulator** in MATLAB.

### 5.1.1 Small Cell Radio Planning



**Figure: Frequency Distribution**

- Licensed Frequency = 30MHz
- The frequencies of each cell =  $F1 = F2 = F3 = 5\text{MHz}$

### **Overall Radio Planning:**

- Frequency per eNB =  $5 + 5 + 5 = 15\text{MHz}$
- Backhaul Spectrum with Frequency Reuse =  $10\text{MHz}$
- For High Mobility Users =  $5\text{MHz}$
- Total Spectrum =  $15 + 15 = 30\text{MHz}$

### **5.1.2 LTE Downlink System Level Simulator (Vienna)**

- **Input Parameters:**
  1. Bandwidth:  $5\text{MHz}$
  2. Inter eNB distance:  $150\text{ m}$
  3. Transmitter Power:  $23\text{ dBm}$
  4. Antenna Gain:  $5\text{ dBi}$
  5. SISO link for Antennas
- It gives various results among which the most important outputs are:
  - i. Average UE Throughput (mbps)
  - ii. Average UE Spectral Efficiency (bit/cu)
  - iii. Number of UEs.



### 5.1.3 MATLAB Coding for Small Cell

```

close all force;
clc;
clear all
clear global;
clear classes;

simulation_type = 'tri_sector_tilted';

% Possible simulation types now:
%   - 'tri_sector'
%   - 'tri_sector_tilted',
'tri_sector_tilted_4x2',
'tri_sector_tilted_4x4'
%   - 'tri_sector_plus_femtocells'
%   - 'six_sector_tilted'
%   - 'capesso_pathlossmaps'
%   - 'omnidirectional_eNodeBs'

LTE_config = LTE_load_params(simulation_type);

%% If you want to modify something taking as a
base the configuration file, do it here: here
an example is show that changes the inter-
eNodeB distances based on the
LTE_load_params_hex_grid_tilted config file.

% Some changes to the base configuration, in
case you would need/want them
LTE_config.show_network           = 0;
LTE_config.nTX                   = 2;
LTE_config.nRX                   = 2;
LTE_config.tx_mode                = 2;
LTE_config.scheduler              = 'prop
fair Sun'; % prop fair Sun % round robin
LTE_config.shadow_fading_type    = 'none';
LTE_config.compact_results_file  = true;

```

```

LTE_config.delete_ff_trace_at_end      = true;
LTE_config.UE_cache                    = true;
LTE_config.simulation_time_tti        = 10;
LTE_config.UE_cache_file               = 'auto';
LTE_config.adaptive_RI                 = 2;
LTE_config.keep_UEs_still              = true;
LTE_config.UE_per_eNodeB               = 10;
LTE_config.scheduler_params.av_window  = 20;
LTE_config.map_resolution               = 10;
LTE_config.pregenerated_ff_file        = 'auto';
LTE_config.trace_version                = 'v1';
% 'v1' for pregenerated precoding. 'v2' for
run-time-applied precoding
LTE_config.inter_eNodeB_distance       = 150;
LTE_config.eNodeB_tx_power              = 40;
LTE_config.frequency                   = 900e6;
LTE_config.bandwidth                    = 5e6;
LTE_config.max_antenna_gain             = 15;
output_results_file = LTE_sim_main(LTE_config);

simulation_data      =
load(output_results_file);
GUI_handles.aggregate_results_GUI =
LTE_GUI_show_aggregate_results(simulation_data);
GUI_handles.positions_GUI =
LTE_GUI_show_UEs_and_cells(simulation_data,GUI_
handles.aggregate_results_GUI);

```

## The Matlab Simulation of the Small Cell is demonstrated below:

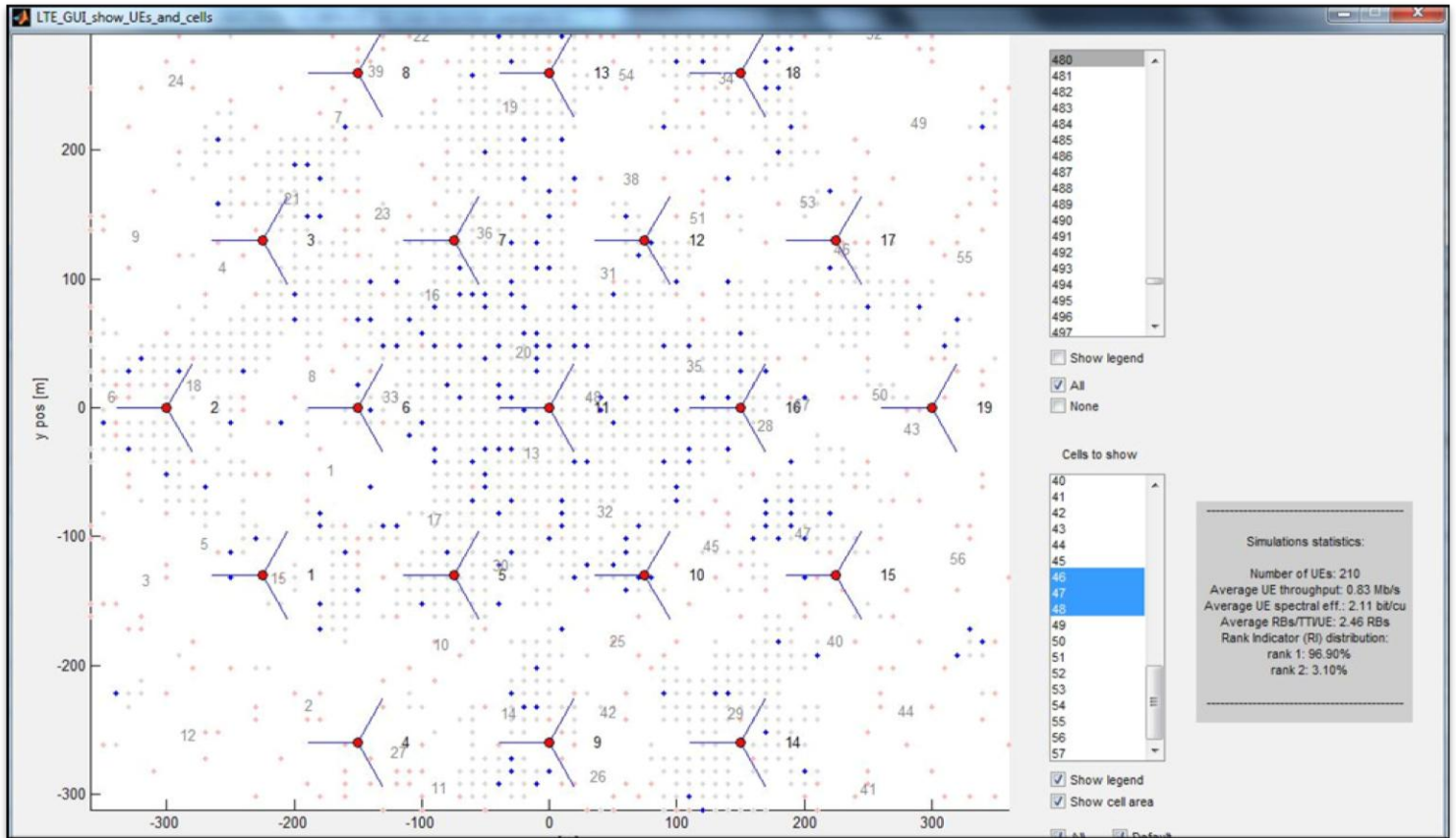
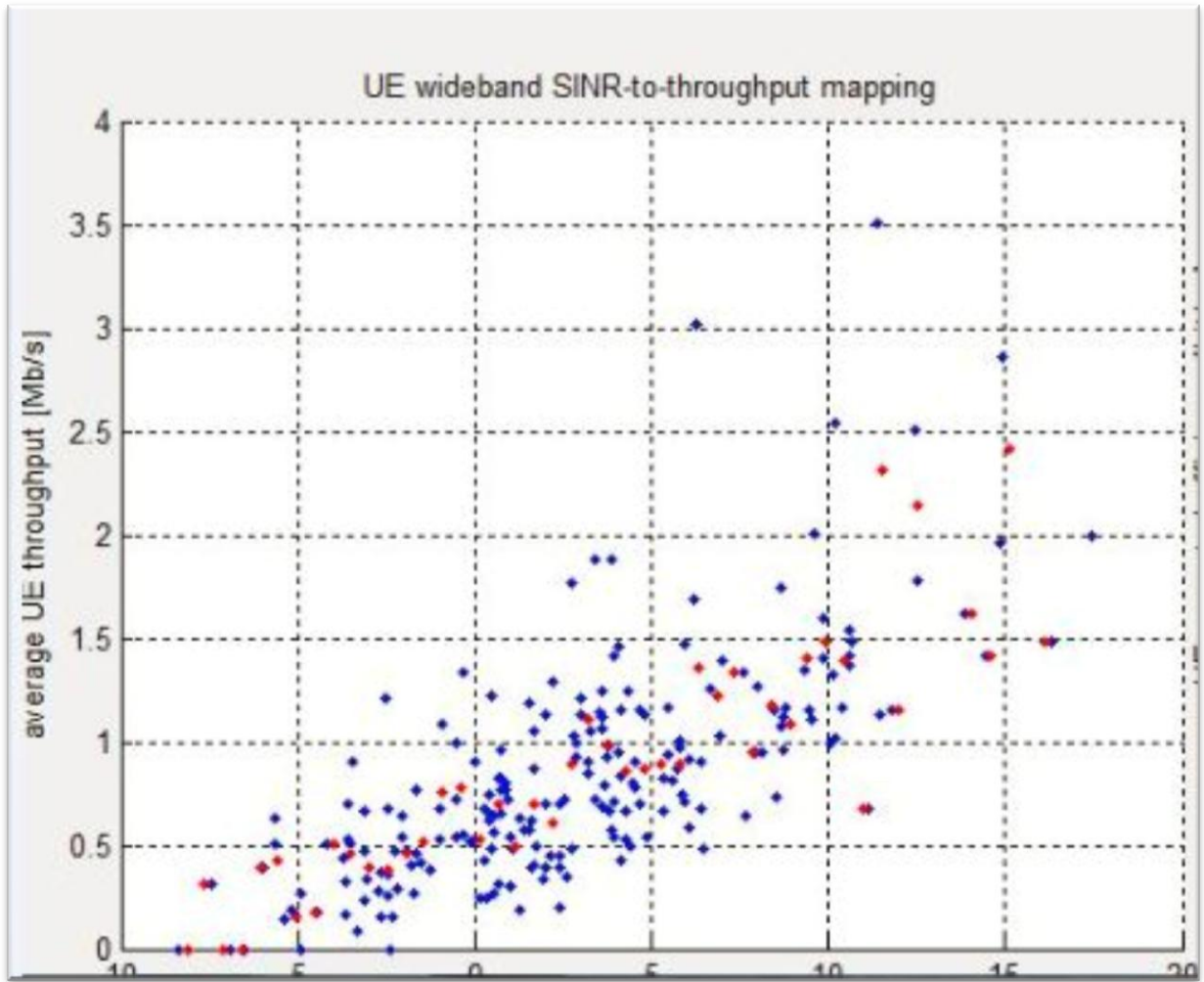


Figure: The Distribution of eNBs and UEs in a given area.

- The tri-sectored shapes with red dots are the eNBs, we can see from the figure that there are 19 eNBs.
- They are arranged in hexagonal pattern.
- There are two tiers of small cells.
- The central one is the macro cell which is providing backhaul for the two layers of small cells surrounding it.
- The blue dots are the UEs scattered throughout the ROI.

**5.1.4 The average UE throughput Vs SINR is demonstrated below:**



**Figure: Average UE Throughput Vs SINR**

- **Throughput:**

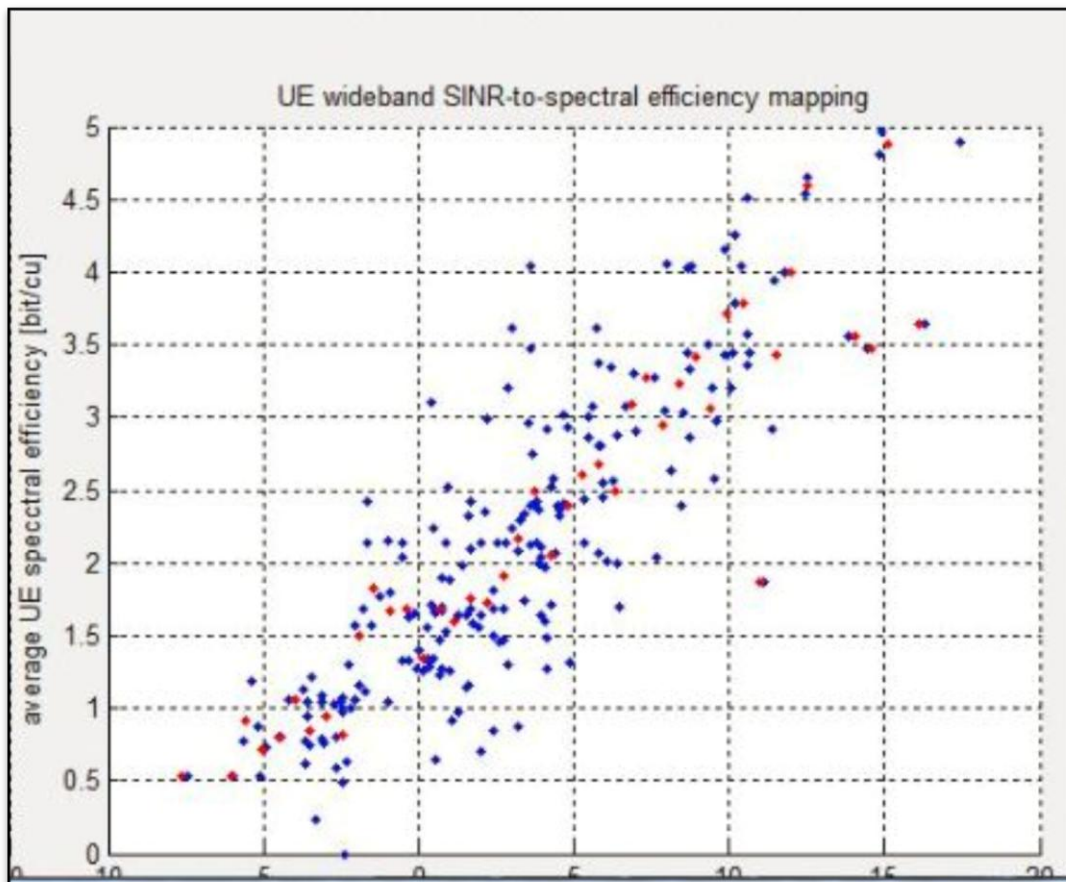
It is the average rate of successful message delivery over a communication channel.

This data may be delivered over a physical or logical link, or pass through a certain network node.

The throughput is usually measured in bits per second (bit/s or bps), and sometimes in data packets per second or data packets per time slot.

The average UE throughput is shown by the straight line which is **0.83Mb/sec**.

### 5.1.5 The average UE spectral efficiency Vs SINR is shown below:



**Figure: Average UE Spectral Efficiency Vs SINR**

- **Spectral Efficiency:**

Spectral efficiency refers to the information rate that can be transmitted over a given bandwidth in a specific communication system.

It is a measure of how efficiently a limited frequency spectrum is utilized by the physical layer protocol, and sometimes by the media access control (the channel access protocol).

The link spectral efficiency of a digital communication system is measured in (bit/s)/Hz which is equivalent to bits per channel use (bit/cu).

The average UE spectral efficiency is **2.11 bit/cu**.

### 5.1.6 Throughput Calculation:

- Number of UEs = 210
- Number of eNBs = 19
- Average UE Throughput = 0.83Mb/s
- eNodeB throughput =  $(210 * .83 * 3) / 19 = 27.5\text{Mbps}$

## 5.2

### MATLAB Simulation of Femtocells

- A femtocell is a small wireless access point that transmits voice and data cellular signals within a home or small office.
- These are deployed in crowded urban areas where small cells alone cannot meet the demand.
- There is no planning for the backhaul of the femtocells since these are randomly distributed.

#### 5.2.1 Femtocell Simulation in Vienna

- Input Parameters – It gives various results among which the most important outputs are:
  1. Average UE Throughput (Mbps)
  2. Average UE Spectral Efficiency (bit/cu)
  3. Number of UEs.

## 5.2.2 Coding for Femtocells:

```

close all force;
clc;
clear all
clear global;
clear classes;

simulation_type = 'tri_sector_plus_femtocells';

% Possible simulation types now:
% - 'tri_sector'
% - 'tri_sector_tilted', 'tri_sector_tilted_4x2',
'tri_sector_tilted_4x4'
% - 'tri_sector_plus_femtocells'
% - 'six_sector_tilted'
% - 'capesso_pathlossmaps'
% - 'omnidirectional_eNodeBs'

LTE_config = LTE_load_params(simulation_type);

%% If you want to modify something taking as a base
the configuration file, do it here: here an example
is show that changes the inter-eNodeB distances
based on the LTE_load_params_hex_grid_tilted config
file.

% Some changes to the base configuration, in case
you would need/want them
LTE_config.show_network           = 0;
LTE_config.nTX                   = 2;
LTE_config.nRX                   = 2;
LTE_config.tx_mode                = 2;
LTE_config.scheduler              = 'prop fair
Sun'; % prop fair Sun % round robin

```



```

LTE_config.shadow_fading_type           = 'none';
LTE_config.compact_results_file         = true;
LTE_config.delete_ff_trace_at_end      = true;
LTE_config.UE_cache                     = true;
LTE_config.simulation_time_tti         = 10;
LTE_config.UE_cache_file                = 'auto';
LTE_config.adaptive_RI                  = 2;
LTE_config.keep_UEs_still               = true;
LTE_config.UE_per_eNodeB                = 10;
LTE_config.scheduler_params.av_window   = 20;
LTE_config.map_resolution                = 10;
LTE_config.pregenerated_ff_file         = 'auto';
LTE_config.trace_version                 = 'v1';           %
'v1' for pregenerated precoding. 'v2' for run-time-
applied precoding
LTE_config.eNodeB_tx_power              = 40;
LTE_config.frequency                    = 900e6;
LTE_config.bandwidth                     = 5e6;
LTE_config.max_antenna_gain              = 15;
output_results_file                     = LTE_sim_main(LTE_config);

simulation_data                          = load(output_results_file);
GUI_handles.aggregate_results_GUI =
LTE_GUI_show_aggregate_results(simulation_data);
GUI_handles.positions_GUI              =
LTE_GUI_show_UEs_and_cells(simulation_data,GUI_handles.aggregate_results_GUI);

```

### 5.2.3 The Matlab Simulation of the Femtocell is demonstrated below:

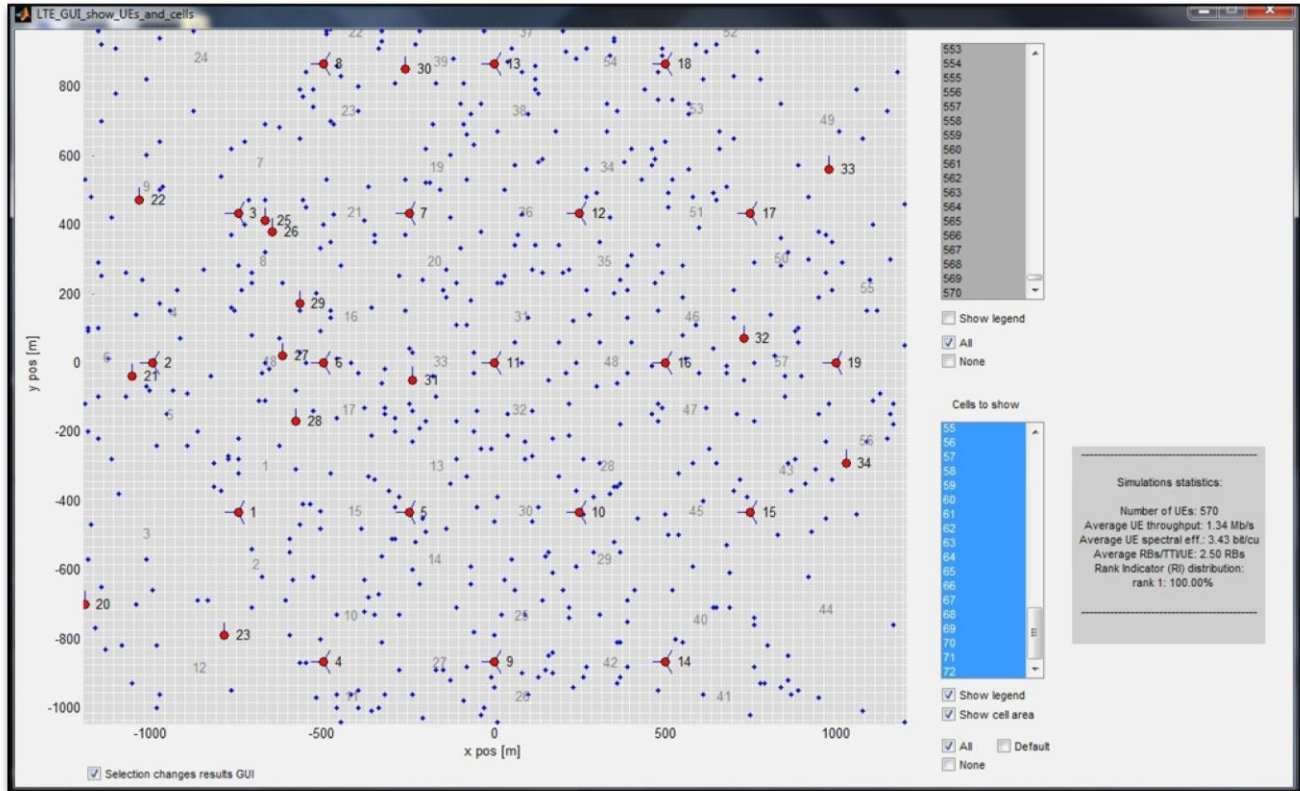
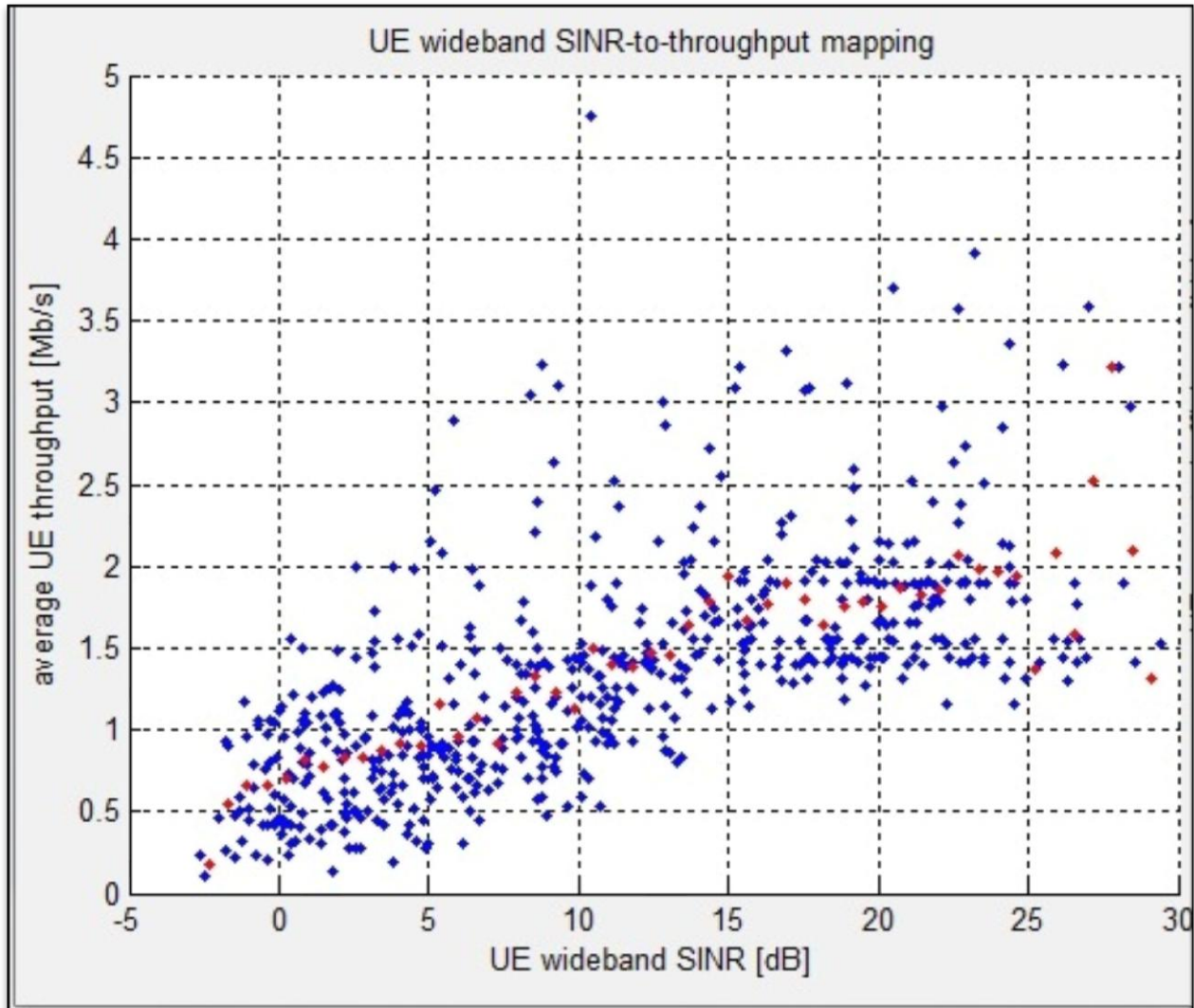


Figure: Distribution of the femtocells and the UEs in a given area

- The small tri-sectored shapes with red dots represent the femtocells.
- There are 34 of them scattered throughout the ROI randomly.
- They are supporting a total of 570 UEs represented by the blue dots randomly distributed throughout the ROI.

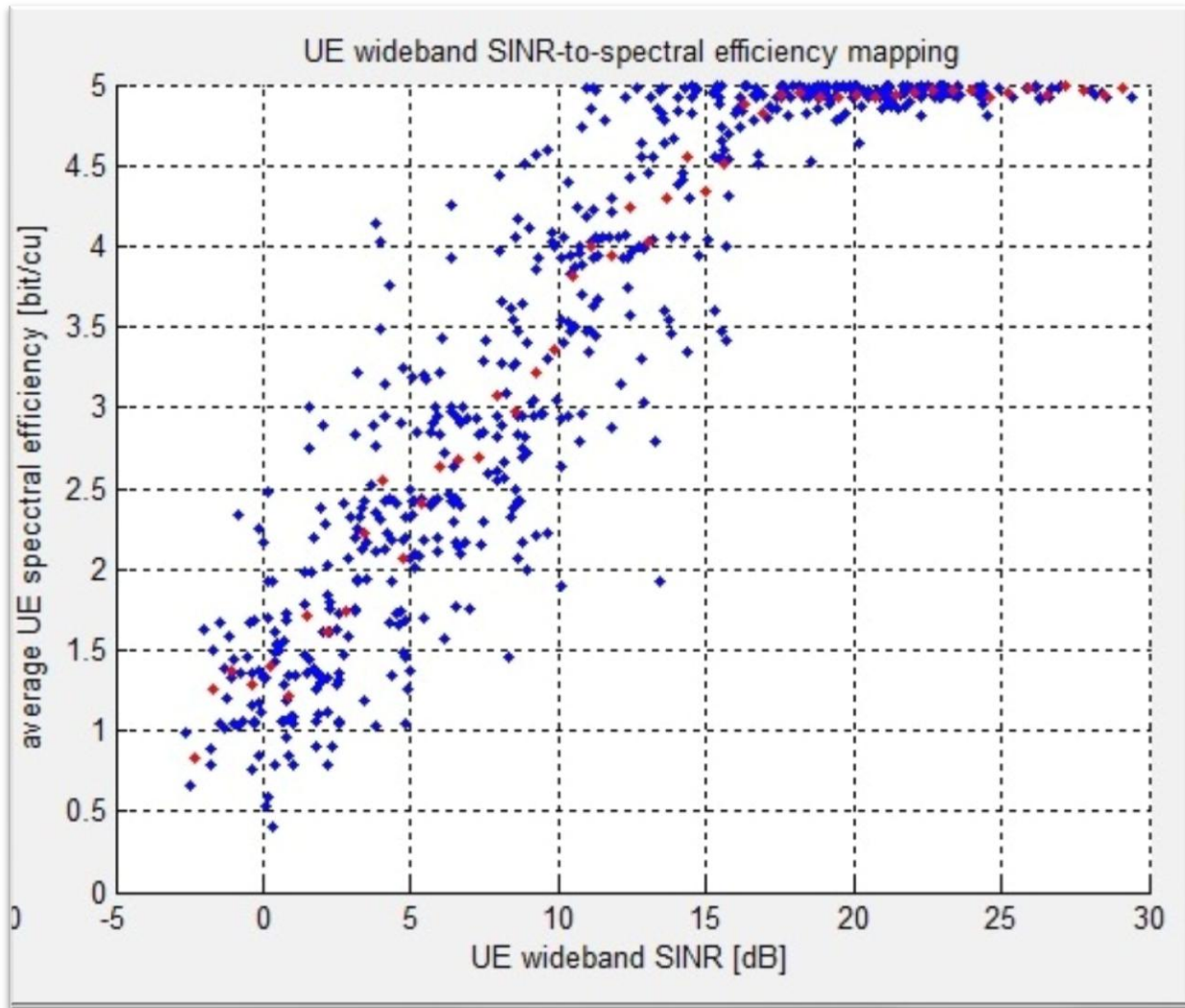
**5.2.4 The average UE throughput Vs SINR is demonstrated below:**



**Figure: Average UE Throughput Vs SINR**

- In comparison with the Small Cells the average throughput for **Femtocells** is higher which is obvious since we are using more number of **Femtocells** to meet the demand.
- The average UE throughput is **1.34Mb/s**.

**5.2.5 The average UE spectral efficiency Vs SINR is shown below:**



**Figure: Average UE Spectral Efficiency Vs SINR**

- The spectral efficiency is higher in case of femtocells since the throughput is higher so more data is transmitted over the same channel bandwidth.
- The average UE spectral efficiency is **3.43bit/cu**.

## 5.2.6 Throughput Calculation:

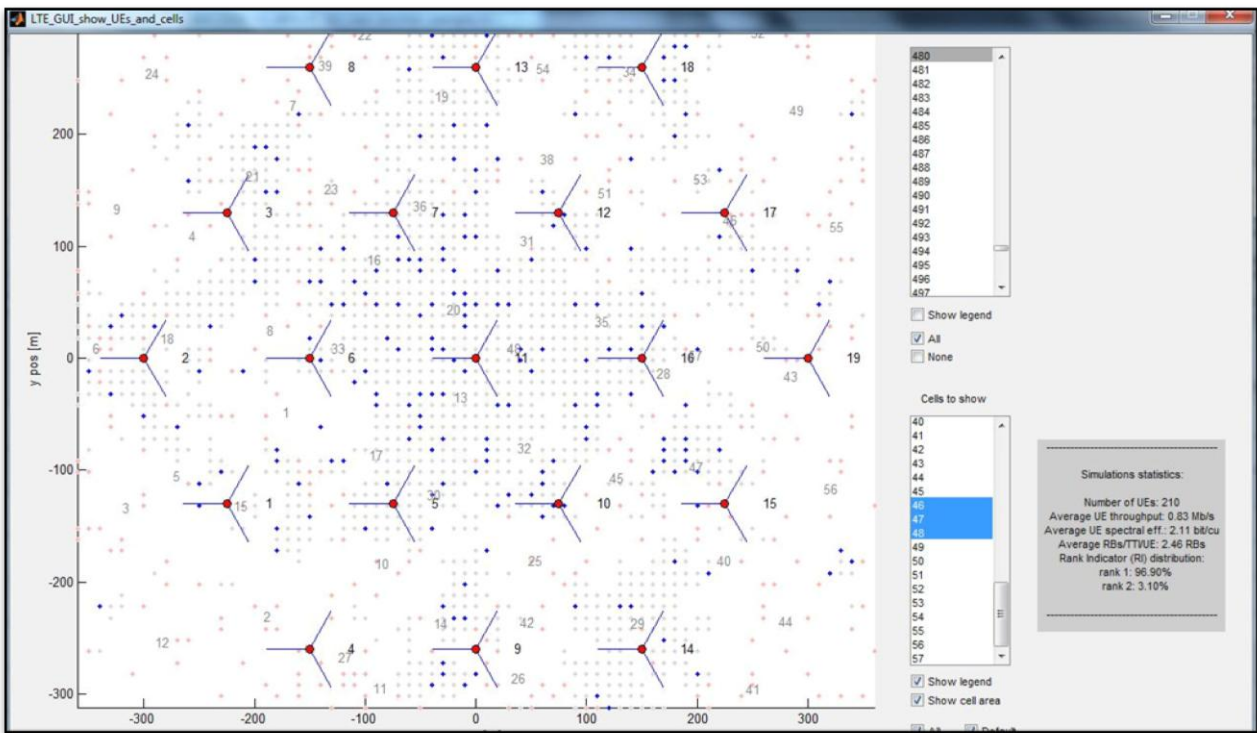
- Number of UEs = 570
- Number of Femtocells = 34
- Average UE throughput = 1.34Mb/s
- Femtocell throughput =  $(570 * 1.34) / 34 = 22.46$  Mbps per Femtocell

# 5.3

## Performance Analysis Of Small Cell And Femtocell

- In order to make a comparison between the performance of small cells and femtocells we are going to consider the throughput.
- But the area covered by both the simulations was different, so we will estimate the throughput per unit area.
- Thus we can come to a conclusion.

### 5.3.1 Estimation of Small Cell Throughput

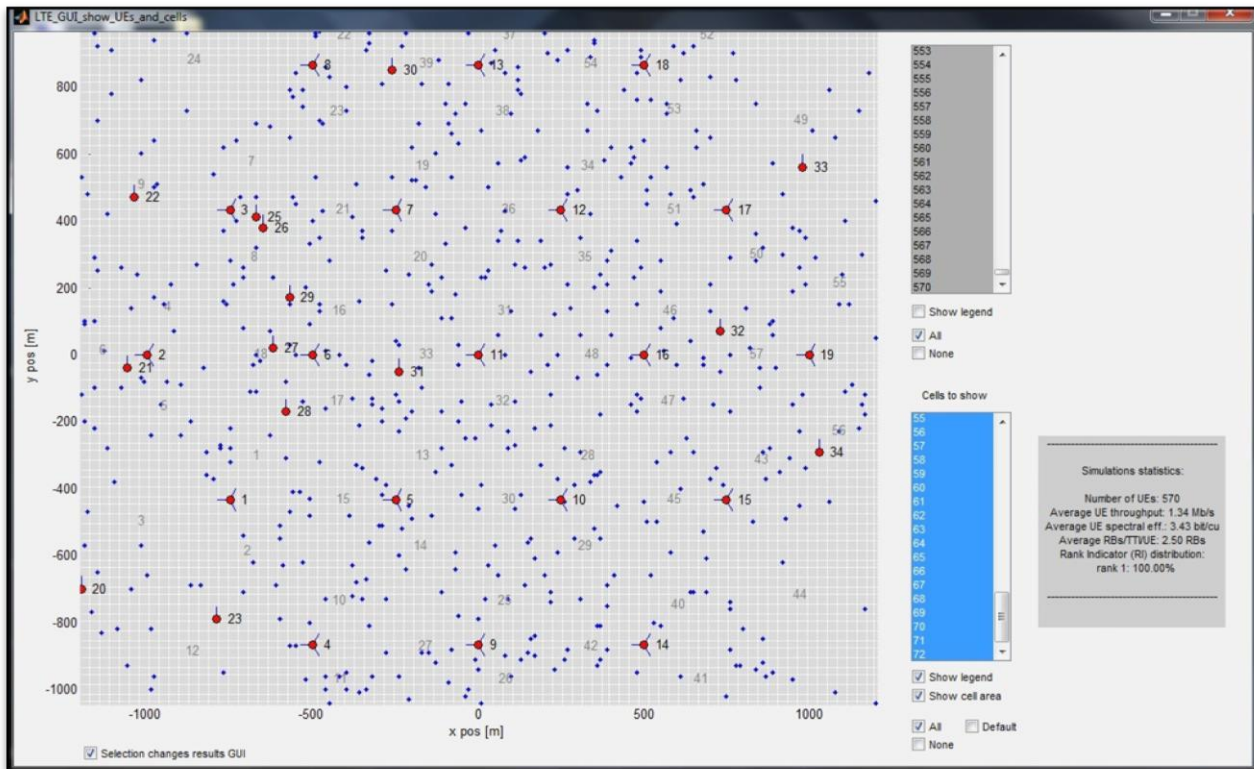


- From the above Figure, we can see that the total area covered by the small cells is equal to  $600m \times 600m = 360000m^2 = 0.36km^2$ .



- Number of eNBs per unit area =  $19/0.36 = 52.8 /\text{km}^2$ .
- Throughput per unit area =  $(210*0.83)/0.36 = 484.17 \text{ Mbps}/\text{km}^2$ .

### 5.3.2 Estimation of Femtocell Throughput



- From above figure, we can see that the total area covered by the **Femtocells** is equal to  $2000\text{m} * 2000\text{m} = 4000000\text{m}^2 = 4 \text{ km}^2$ .
- Number of **Femtocells** per unit area =  $34/4 = 8.5 /\text{km}^2$ .
- Throughput per unit area =  $(570*1.34)/4 = 190.95 \text{ mbps}/\text{km}^2$ .

### 5.3.3 Small Cells Vs Femtocells

	Small Cell	Femtocell
<b>Total Area Covered (km<sup>2</sup>)</b>	0.36	4
<b>Average Throughput per eNB(mbps)</b>	27.5	22.46
<b>Throughput per unit area</b>	484.17	190.5
<b>Number of eNBs per unit area (/km<sup>2</sup>)</b>	52.8	8.5
<b>Radio planning</b>	Essential, so CAPEX is higher	Not required because it can be deployed at any place (like WiFi).
<b>Interference management</b>	It is vital	Not required
<b>Quantity of cells per square kilometers</b>	Much higher	Limited
<b>Distribution</b>	Geometrical	Random



## CONCLUSIONS

- It is better to deploy small cells rather than **Femtocells** in urban areas.
- As the number of **UEs** is increasing rapidly in the urban areas, **Femtocells** alone cannot support the network. That is why **Small Cells** are required to meet the demand.
- It is expected that mobile traffic will increase by 1000x in the next decade.
- This paper presents a highly scalable, low-cost, new deployment **Small Cell** that has the answer to supporting future traffic requirements.
- The model capitalizes on existing consumer sites and backhaul to reduce both **CAPEX** and **OPEX** while allowing significant offloading of users from the macro network, providing huge throughput improvement through cell splitting gains.
- The feasibility of network planning at these high penetrations is questionable, meanwhile the lack of planning can limit user experience if not addressed properly.

# **Analysis And Comparison Between Performance Parameters Of Small Cells And Femtocells In Long Term Evolution (LTE)**

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## **ACKNOWLEDGEMENTS**

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Finally, we beg pardon and apologize for the faults and any unintentional mistakes that might be recurred in this thesis work even after all the care and precautions were taken.

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